

Continuous Offer of Units at Applicable NAV
COMMON APPLICATION FORM

SPONSOR

Indiabulls Financial Services Ltd
Registered office
F60, Malhotra Building,
2nd Floor, Connaught Place,
New Delhi - 110001

TRUSTEE

Indiabulls Trustee Company Ltd
Registered office
M - 62 & 63, 1st Floor,
Connaught Place,
New Delhi - 110 001

ASSET MANAGEMENT COMPANY

Indiabulls Asset Management Company Ltd
Registered office
M - 62 & 63, 1st Floor,
Connaught Place,
New Delhi - 110 001

CUSTODIAN & FUND ACCOUNTANT

Citibank N.A.
Registered office
3rd Floor, Trent House, Plot No. G-60,
Bandra Kurla Complex, Bandra East,
Mumbai - 400051

KEY SCHEME FEATURES

Name of the Scheme	Indiabulls Liquid Fund (An open-ended Liquid Scheme)		
Investment Objective	To provide a high level of liquidity with returns commensurate with a low risk through a portfolio of money market and debt securities with residual maturity of upto 91 days.		
Asset Allocation	Type of Instrument	Normal Allocation (% of Net Assets)	
		Minimum	Maximum
	Money market and debt instruments with residual maturity up to 91 days (including floating rate debt instruments, securitized debt.#)	0	100
	# Including Derivative instruments. Investment in Derivatives up to 50% of the net assets of the Scheme. Investment in derivatives shall be in compliance with SEBI Circular No. Cir/IMD/DF/11/2010 dated August 18, 2010. Not more than 15% of net assets in securitized debt.		
Investment Strategy	<ul style="list-style-type: none"> The Fund shall be managed according to the Investment Objective to generate returns commensurate with the low risk of the portfolio. The scheme is positioned to meet the needs of those investors who want to deploy their funds for a short period of time with the least amount of risk. 		
Risk Profile of the Scheme	<p>Mutual Fund Units involve Investment risks including the possible loss of principal. Please read the SID carefully for details on risk factors before investment.</p> <p>Scheme specific Risk Factors are summarized below :</p> <ul style="list-style-type: none"> The scheme carries risks associated with investing in debt and money market securities, derivatives, securitized debt and securities lending. Investment in mutual fund units involves investment risks such as trading volumes, settlement risk, liquidity risk and default risk. Trading volume may restrict liquidity. AMC may choose to invest in unlisted securities which may increase the risk on the portfolio. Investments in debt and money market instruments are subject to interest rate risk, basis risk, credit risk, spread risk, pre-payment risk etc. 		
Plans/Options	<p>Plans: The Scheme offers following two plans for investment into the Scheme:</p> <p>A. Direct Plan: This Plan is suitable for investors who wish to invest directly in the scheme without routing their investment through any distributor. The Direct Plan shall have lower expense ratio compared to the Existing Plan and no commission shall be paid out of the Direct Plan.</p> <p>B. Existing Plan: This Plan is suitable for investors who wish to invest in the Scheme through any distributor. This Plan shall have higher expense ratio compared to the Direct Plan and distributor commission may be paid out of this Plan.</p> <p>The Direct Plan and Existing Plan shall have separate NAVs. However, there will be a common portfolio for both plans. The various options available under the Direct Plan and Indirect Plan and the salient features common to both plans are given below:</p> <p>Options: Growth and Dividend Option (Dividend option offers Payout and Reinvestment facility). If Dividend payable under Dividend Payout option is equal to or less than Rs. 250/- then the Dividend would be compulsorily reinvested in the option of the Scheme.</p> <p>Default option: Growth Option; Default between Dividend Payout & Reinvestment Option: Reinvestment Option</p>		
Applicable NAV	Please refer Page No. 4		
Minimum Application Amount/ Number of Units	Purchase	Additional Purchase	Redemption
	Rs. 5000 and multiple of Re. 1 thereafter	Rs. 1000 and multiple of Re. 1 thereafter	1 unit or Rs. 1000 and in multiple of Re. 1 thereafter
Systematic Investment Plan (SIP)	N/A		
Benchmark Index	CRISIL Liquid Fund Index		
Name of the Fund Manager	Mr. Raju Sharma		
Expenses of the Scheme i. Load Structure	<p>Entry Load: Not Applicable, Pursuant to SEBI circular no. SEBI/MD/CIR No.4 /168230/09 dated June 30, 2009 , no entry load will be charged by the Scheme to the investor. Upfront commission shall be paid directly by the investor to the ARN Holder (AMFI registered Distributor) based on the investors' assessment of various factors including the service rendered by the ARN holder</p> <p>Exit Load: Nil</p>		
Expenses of the Scheme ii. Recurring Expenses (% of the Daily Net Assets)	Daily Net Assets (Rs)	Maximum Limit*	
	On First Rs. 100 crores	2.45%	
	On the next Rs. 300 crores	2.20%	
	On the next Rs. 300 crores	1.95%	
	On the balance of the assets	1.70%	
*In addition to the limits specified above, expenses not exceeding of 0.30% of daily net assets may be charged to the scheme, if the new inflows from beyond top 15 cities are at least (i) 30% of gross new inflows in the scheme, or; (ii) 15% of the Avg. AUM (YTD) of the scheme, whichever is higher. Provided that if inflows from beyond top 15 cities is less than the limits specified in (i) & (ii) above, such expenses on daily net assets of the scheme shall be charged on proportionate basis.			

KEY SCHEME FEATURES

Name of the Scheme	Indiabulls Ultra Short Term Fund (An Open Ended Debt Scheme)			
Investment Objective	To provide liquidity with returns commensurate with low risk through a portfolio of money market and debt securities			
Asset Allocation	Type of Instrument	Normal Allocation (% of Net Assets)		
		Minimum	Maximum	Risk Profile (Low/Medium/High)
	Money market and debt instruments with maturity not greater than 1 year (including floating rate debt instruments, securitized debt, Repo in Corporate Debt Securities)*	65%	100%	Low-Medium
	Debt instruments with maturity greater than 1 year (including floating rate debt instruments, securitized debt)*	0%	35%	Low-Medium
	*securitized debt cumulative allocation not to exceed 25% of the net assets of the Scheme (No investment in foreign securitized debt). Investment in Debt Derivatives up to 50% of the net assets of the Scheme. Investment in debt derivatives shall be strictly in compliance with SEBI Circular No. Cir/IMD/DF/11/2010 dated August 18, 2010.			
Investment Strategy	The Fund is managed according to the Investment Objective to generate returns commensurate with the low risk of the portfolio. This scheme is positioned to meet the needs of those investors who want to deploy their funds for a short period of time with the least amount of risk. The returns would match the levels of risk taken in the portfolio.			
Risk Profile of the Scheme	<p>Mutual Fund Units involve Investment risks including the possible loss of principal. Please read the SID carefully for details on risk factors before investment.</p> <p>Scheme specific Risk Factors are summarized below :</p> <ul style="list-style-type: none"> • The scheme carries risks associated with investing in debt and money market securities, derivatives, securitized debt and securities lending. • Investment in mutual fund units involves investment risks such as trading volumes, settlement risk, liquidity risk and default risk. • Trading volume may restrict liquidity. AMC may choose to invest in unlisted securities which may increase the risk on the portfolio. • Investments in debt and money market instruments are subject to interest rate risk, basis risk, credit risk, spread risk, pre-payment risk etc. 			
Plans/Options	<p>Plans: The Scheme offers following two plans for investment into the Scheme:</p> <p>A. Direct Plan: This Plan is suitable for investors who wish to invest directly in the scheme without routing their investment through any distributor. The Direct Plan shall have lower expense ratio compared to the Existing Plan and no commission shall be paid out of the Direct Plan.</p> <p>B. Existing Plan: This Plan is suitable for investors who wish to invest in the Scheme through any distributor. This Plan shall have higher expense ratio compared to the Direct Plan and distributor commission may be paid out of this Plan.</p> <p>The Direct Plan and Existing Plan shall have separate NAVs. However, there will be a common portfolio for both plans. The various options available under the Direct Plan and Indirect Plan and the salient features common to both plans are given below:</p> <p>Options: Growth and Dividend Option (Payout and Reinvestment). If Dividend payable under Dividend Payout option is equal to or less than Rs. 250/- then the Dividend would be compulsorily reinvested in the option of the Scheme. Default option: Growth Option; Default between Dividend Payout & Reinvestment Option: Reinvestment Option</p>			
Applicable NAV	Please refer Page No. 4			
Minimum Application Amount/ Number of Units	Purchase	Additional Purchase	Redemption	
	Rs. 5000 and multiple of Re. 1 thereafter	Rs. 1000 and multiple of Re. 1 thereafter	1 unit or Rs. 1000 and in multiple of Re. 1 thereafter	
Systematic Investment Plan (SIP)	N/A			
Benchmark Index	CRISIL Liquid Fund Index			
Name of the Fund Manager	Mr. Raju Sharma and Mr. Puneet Srivastava			
Expenses of the Scheme i. Load Structure	<p>Entry Load: Not Applicable, Pursuant to SEBI circular no. SEBI/MD/CIR No.4 /168230/09 dated June 30, 2009 , no entry load will be charged by the Scheme to the investor. Upfront commission shall be paid directly by the investor to the ARN Holder (AMFI registered Distributor) based on the investors' assessment of various factors including the service rendered by the ARN holder</p> <p>Exit Load: Nil</p>			
Expenses of the Scheme ii. Recurring Expenses (% of the Daily Net Assets)	Daily Net Assets (Rs)	Maximum Limit*		
	On First Rs. 100 crores	2.45%		
	On the next Rs. 300 crores	2.20%		
	On the next Rs. 300 crores	1.95%		
	On the balance of the assets	1.70%		
*In addition to the limits specified above, expenses not exceeding of 0.30% of daily net assets may be charged to the scheme, if the new inflows from beyond top 15 cities are at least (i) 30% of gross new inflows in the scheme, or; (ii) 15% of the Avg. AUM (YTD) of the scheme, whichever is higher. Provided that if inflows from beyond top 15 cities is less than the limits specified in (i) & (ii) above, such expenses on daily net assets of the scheme shall be charged on proportionate basis.				

KEY SCHEME FEATURES

Name of the Scheme	Indiabulls Blue Chip Fund (An Open Ended Equity Scheme)			
Investment Objective	The primary investment objective of the Scheme is to seek to provide long-term capital appreciation from a portfolio that is invested predominantly in equity and equity-related securities of blue-chip large-cap companies.			
Asset Allocation	Type of Instrument	Normal Allocation (% of Net Assets)		
		Minimum	Maximum	Risk Profile (Low/Medium/High)
	Equity and equity related securities - Large-Cap Companies*	65	100	High
	Equity and equity related securities Other Companies	0	35	High
	Debt & Money market securities/instruments	0	35	Low-Medium
	* For the purposes of this scheme, Large Cap Company is a Company with a market capitalization higher than the market capitalization of 101st company in BSE 500 index, arranged in descending order of market cap. Investment in Derivatives up to 100% of the net assets of the Scheme. Investment in derivatives shall be in compliance with SEBI Circular No. Cir/IMD/DF/11/2010 dated August 18, 2010. The scheme shall not be investing in foreign equity and equity related securities. The scheme shall not be investing in Securitised Debt Instruments.			
Investment Strategy	<ul style="list-style-type: none"> This Scheme is an open ended equity scheme that predominantly invests in a diversified portfolio constituting equity and equity related instruments of companies that the Fund Manager believes have sustainable business models, and potential for capital appreciation. The corpus of the Scheme will be invested predominantly in blue chip large cap stocks and / or in exchange traded derivatives on the S&P CNX Nifty Index or such blue chip stocks. The Scheme may also use various derivatives and hedging products from time to time, as would be available and permitted by SEBI, in an attempt to protect the value of the portfolio and enhance Unitholders' interest. 			
Risk Profile of the Scheme	<p>Mutual Fund Units involve Investment risks including the possible loss of principal. Please read the SID carefully for details on risk factors before investment.</p> <p>Scheme specific Risk Factors are summarized below:</p> <ul style="list-style-type: none"> The scheme will predominantly invest in equity and equity related securities of blue chip large cap companies. Thus, any price fluctuation for these securities may adversely affect the NAV of the units issued under the Scheme Being an open-ended scheme, the scheme may hold appropriate levels of cash or cash equivalents to meet ongoing redemptions. The same may also lead to out-performance or under-performance of the scheme against S&P CNX Nifty which is the benchmark index for the scheme. Equity and Equity Related Instruments by nature are volatile and prone to price fluctuations on a daily basis due to macro and micro economic factors. The value of Equity and Equity Related Instruments may fluctuate due to factors affecting the securities markets such as volume and volatility in the capital markets, interest rates, currency exchange rates, changes in law/policies of the Government, taxation laws, political, economic or other developments, which may have an adverse impact on individual securities, a specific sector or all sectors. Consequently, the NAV of the Units issued under the Scheme may be adversely affected. Trading volume in individual stocks may restrict liquidity. 			
Plans/Options	<p>Plans: The Scheme offers following two plans for investment into the Scheme:</p> <p>A. Direct Plan: This Plan is suitable for investors who wish to invest directly in the scheme without routing their investment through any distributor. The Direct Plan shall have lower expense ratio compared to the Existing Plan and no commission shall be paid out of the Direct Plan.</p> <p>B. Existing Plan: This Plan is suitable for investors who wish to invest in the Scheme through any distributor. This Plan shall have higher expense ratio compared to the Direct Plan and distributor commission may be paid out of this Plan.</p> <p>The Direct Plan and Existing Plan shall have separate NAVs. However, there will be a common portfolio for both plans. The various options available under the Direct Plan and Indirect Plan and the salient features common to both plans are given below: Options: Growth and Dividend Option (Dividend option offers Payout and Reinvestment facility). Default option: Growth Option, Default between Dividend Payout & Reinvestment Option: Reinvestment Option</p>			
Applicable NAV	Please refer Page No. 4			
Minimum Application Amount/ Number of Units	Purchase	Additional Purchase	Redemption	
	Rs. 5000 and multiple of Re. 1 thereafter	Rs. 1000 and multiple of Re. 1 thereafter	100 units or Rs. 1000 and in multiple of Re. 1 thereafter	
Systematic Investment Plan (SIP)	<p>Minimum SIP instalment amount: Rs. 1000/- for monthly and Rs.3000/- for quarterly; Minimum No. of SIP installments : Monthly - 12 installments, Quarterly 4 installments [including the first SIP cheque]; SIP Dates : 1st / 5th / 10th / 15th / 20th / 25th of the month/ of any month in the quarter; Registration period: There must be at least 30 days between the first SIP cheque and subsequent due date of ECS [debit clearing]</p>			
Benchmark Index	S&P CNX NIFTY INDEX			
Name of the Fund Manager	Mr. Sumit Bhatnagar			
Expenses of the Scheme i. Load Structure	<p>Entry Load: Not Applicable, Pursuant to SEBI circular no. SEBI/MD/CIR No.4 /168230/09 dated June 30, 2009 , no entry load will be charged by the Scheme to the investor. Upfront commission shall be paid directly by the investor to the ARN Holder (AMFI registered Distributor) based on the investors' assessment of various factors including the service rendered by the ARN holder</p> <p>Exit Load: 1% if redeemed/switched out within first year, NIL if redeemed/switched out in second year.</p>			



KEY SCHEME FEATURES

Name of the Scheme	Indiabulls Blue Chip Fund (An Open Ended Equity Scheme)	
Expenses of the Scheme ii. Recurring Expenses (% of the Daily Net Assets)	Daily Net Assets (Rs)	Maximum Limit*
	On First Rs. 100 crores	2.70%
	On the next Rs. 300 crores	2.45%
	On the next Rs. 300 crores	2.20%
	On the balance of the assets	1.95%

*In addition to the limits specified above, expenses not exceeding of 0.30% of daily net assets may be charged to the scheme, if the new inflows from beyond top 15 cities are at least (i) 30% of gross new inflows in the scheme, or; (ii) 15% of the Avg. AUM (YTD) of the scheme, whichever is higher. Provided that if inflows from beyond top 15 cities is less than the limits specified in (i) & (ii) above, such expenses on daily net assets of the scheme shall be charged on proportionate basis.

INFORMATION COMMON TO ALL SCHEMES

Applicable NAV:

Liquid Fund

The NAV applicable for purchase or redemption or switching of units will be based on the time of the Business Day on which the application is accepted. NAV will be as follows:

- Valid Applications received upto cut-off time of 2.00 pm on a business day and amount credited to bank account before the cut-off time of 2.00 pm. The closing NAV of the day immediately preceding the day of receipt of the application shall be applicable
- Valid Application received post cut-off time of 2.00 pm, on a business day and amount credited to bank account on the day of receipt of application and amount shall be available for utilisation on the same day as day of receipt of application. The closing NAV of the day immediately preceding the next business day shall be applicable.
- Irrespective of the time of receipt of valid application and the amount is not available for utilisation before the cut off time. The closing NAV of the day immediately preceding the day on which the funds are available for utilisation shall be applicable

Non-Liquid Funds (Debt And Equity)

The NAV applicable for purchase or redemptions or switching of units will be based on the time of the Business Day on which the application is accepted. NAV will be as follows

- In respect of valid applications of investment amount less than Rs. 2 Lakh received up to 3.00 pm on a Business Day, the closing NAV of the day of receipt of application will be applicable;
- In respect of valid applications of investment amount equal to or more than Rs. 2 Lakh received up to 3.00 pm on a Business Day, and the funds are available for utilisation before the cut-off time without availing any credit facility, whether intra-day or otherwise, the closing NAV of the day on which funds are available for utilization will be applicable;
- In respect of valid applications of investment amount less than Rs. 2 Lakh received after 3.00 pm on a Business Day, the closing NAV of the next Business Day will be applicable.
- In respect of valid applications of investment amount equal to or more than Rs. 2 Lakh received after 3.00 pm on a Business Day, and the funds are available for utilisation on the same day without availing any credit facility, whether intra-day or otherwise, the closing NAV of the next Business Day will be applicable, and
- Irrespective of the time of receipt of application of investment amount equal to or more than Rs. 2 Lakh, where the funds are not available for utilisation before the cut-off time without availing any credit facility, whether intra-day or otherwise, the closing NAV of the day on which the funds are available for utilisation will be applicable.

For allotment of units, it shall be ensured that:

- For all valid applications of investment amount less than Rs. 2 Lakh the application is received before the applicable cut-off time;
- For all valid applications of investment amount equal to or more than Rs. 2 Lakh
 - the application is received before the applicable cut-off time,
 - Funds for the entire amount of subscription / purchase as per the application / switch-in request are credited to the bank account of the Scheme before the cut-off time,
 - The funds are available for utilisation before the cut-off time without availing any credit facility whether intra-day or otherwise, by the Scheme. Redemptions including Switch outs:
 - In respect of valid applications received upto 3.00 p.m. on a Business Day, the closing NAV of the same day will be applicable; and
 - In respect of valid applications received after 3.00 p.m., the closing NAV of the next Business Day will be applicable.

Account Statements

Accounts Statement for each transaction other than SIP/STP/SWP will be sent by post (or e-mail at the option of investor) within 5 business days. In the case of SIP/STP/SWP the first account statement will be issued within 10 business days of the initial investment and thereafter once within 10 business days of the end of the respective quarter. Soft copy of the account statement will be mailed to the investors on a monthly basis, if so mandated. In case of specific request received from investors, account statement (SIP/STP/ SWP) to be provided 5 working days from the receipt of such request without any charges.

Consolidated Account Statements

In accordance with SEBI Circular No. Cir/ IMD/ DF/ 16/ 2011 dated September 08, 2011 a consolidated account statement for each calendar month is issued to the investors in whose folios transactions has taken place during that month.

Annual Account Statement

The Mutual Fund shall provide the Account Statement to the Unit holders who have not transacted during the last six months prior to the date of generation of account statements. The account statements in such cases may be generated and issued along with the Portfolio Statement or Annual Report of the Scheme.

Tax Treatment for the Investors (Unitholders):

Investors are advised to refer to the paragraph on Taxation in the 'Statement of Additional Information' and to consult their own tax advisors with respect to their tax liability and other implications arising out of their participation in the scheme.

Daily Net Asset Value (NAV) Publication:

The NAV will be calculated on all calendar days. It will be declared for all business days and will be published in 2 newspapers having circulation all over India, NAV can also be viewed on www.indiabullsmf.com and www.amfiindia.com. You may also call 1-800-200-7777 (toll free no.)

Dividend Policy:

The declaration of Dividend and frequency will inter-alia depend on availability of distributable surplus calculated in accordance with SEBI (MF) Regulations and the decisions of the Trustee shall be final in this regard. There is no assurance or guarantee to the unit holders as to the rate of Dividend nor that will the Dividend be paid regularly

Despatch of Redemption Request:

Within 10 working days of receipt of redemption request at the authorized centre of the Indiabulls Mutual Fund.

Employee Unique Identification Number (EUIIN):

Distributor shall provide EUIIN in the Application Form particularly in case of advisory transactions, as EUIIN would assist in tackling the problem of mis-selling even if the employee/relationship manager/sales person leaves the employment of the distributor.

Half - Yearly Portfolio Disclosures:

The Mutual Fund and AMC shall publish the Scheme Portfolio within one month from the close of each half year (i.e. 31st March and 30th September), by way of an advertisement at least, in one National English daily and one regional newspaper in the language of the region where the head office of the Mutual Fund is located.

It will also be displayed on the website of the AMC (www.indiabullsmf.com) and AMFI (www.amfiindia.com).

Half-Yearly Unaudited Scheme Financial Results:

The Mutual Fund and AMC shall within one month from the close of each half year i.e. 31st March and on 30th September, publish an advertisement disclosing the hosting of Unaudited Scheme Financial Results on their website, in atleast one national English daily newspaper and in a regional newspaper published in the language of the region where the Head Office of the Mutual Fund is situated.

It will also be displayed on the website of the AMC (www.indiabullsmf.com) and AMFI (www.amfiindia.com).

For Investor Grievances: Please Contact:

<p>Name and Address of Registrar Karvy Computershare Private Limited, Karvy Registry House, Road No. 4, Street no 1. Banjara Hills, Hyderabad - 500 034. Tel 040-23312454, Fax: 040-23311968. Website : https://www.karvymfs.com.</p>	<p>Mr. Abdul Hamid, Investor Relations Officer Indiabulls House, Indiabulls Finance Centre, 11th Floor, Senapati Bapat Marg, Elphinstone Road (West), Mumbai - 400 013 Toll free no. 1-800-200-7777 Investor care number 022- 61891327</p>
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INDIABULLS ASSET MANAGEMENT COMPANY LIMITED

Corporate Office:

Indiabulls House, Indiabulls Finance Centre, 11th Floor, Senapati Bapat Marg, Elphinstone Road (West), Mumbai – 400 013, INDIA. Phone number: 022-61891327 Fax number: 022-6189 1320

E-Mail: customercare@indiabullsmf.com Website: www.indiabullsmf.com



COMMON APPLICATION FORM

Fill the form in BLOCK letters only | Leave one space between words

APPLICATION NO. **C**

1 DISTRIBUTOR / BROKER INFORMATION

Name & Broker Code Sub Broker code EUIN-No

"I/We hereby confirm that the EUIN box has been intentionally left blank by me/us as this is an "execution-only" transaction without any interaction or advice by the employee/relationship manager/sales person of the above distributor or notwithstanding the advice of in-appropriateness, if any, provided by the employee/relationship manager/sales person of the distributor and the distributor has not charged any advisory fees on this transaction".

Up-front commission shall be paid directly by the Investor to the AMFI registered Distributors based on the Investors assessment of various factors including the services rendered by the distributor.

Transaction Charges I AM A FIRST TIME INVESTOR IN MUTUAL FUNDS (₹ 150/- will be deducted as Transaction Charges for Transaction of ₹ 10,000/- and more)
Please (any one) I AM AN EXISTING INVESTOR IN MUTUAL FUNDS (₹ 100/- will be deducted as Transaction Charges for Transaction of ₹ 10,000/- and more)

2 EXISTING UNIT HOLDER INFORMATION For existing Investors please fill in your folio number

Name FIRST MIDDLE LAST Folio No

3 APPLICANT(S) INFORMATION Refer Instruction Number II

1st Applicant

Name FIRST MIDDLE LAST DOB

PAN PAN Proof Enclosed please KYC Proof Enclosed please

Guardian (In case of Minor) / POA Holder

Name FIRST MIDDLE LAST Relationship

PAN PAN Proof Enclosed please KYC Proof Enclosed please

2nd Applicant

Name FIRST MIDDLE LAST DOB

PAN PAN Proof Enclosed please KYC Proof Enclosed please

3rd Applicant

Name FIRST MIDDLE LAST DOB

PAN PAN Proof Enclosed please KYC Proof Enclosed please

Mode of holding please Single Joint Anyone or Survivor(s) (Default Option - Joint)

Occupation please Business Professional Service Retired Student House wife Others SPECIFY

Status please Resi Individual Fils Society AOP/BOI Banks FIs Trust Company/Corporate Body

Partnership Firm HUF Minor NRI Repatriable NRI Non-Repatriable PIO Others SPECIFY

Mailing Address - 1st Applicant / Guardian / Corporate

ADDRESS LINE 1

ADDRESS LINE 2 CITY

STATE COUNTRY PIN CODE

Overseas Address - Mandatory for NRI / FII/ PIO Applicant, Please provide your complete address. PO Box alone is not adequate

ADDRESS LINE 1

CITY COUNTRY PIN CODE

Contact Details of SOLE / FIRST Applicant

STD Code Residence Office Mobile No +91

Email Id Contact Person (in case of corporate)

Mode of Correspondence: Where the Investor has provided his e-mail id, the AMC shall send all communication to the investor via e-mail. Investors who wish to receive correspondence through physical mode instead of email are requested to . Email communication will help save paper & planet.

I / We wish to receive communication through physical mode in lieu of email

4 DEMAT ACCOUNT DETAILS OF FIRST APPLICANT (Refer Instruction Number III) (Optional)

NSDL please Depository Participant (DP) ID Beneficiary Account Number

CDSL please Depository Participant (DP) ID

Acknowledgement

Received from Mr / Ms / M/s _____ an application for allotment of units under _____ as per the details below.

Scheme	Plans	Options	Sub-Options	Payment Details
	<ul style="list-style-type: none"> Direct Plan Existing Plan 	<ul style="list-style-type: none"> Growth Dividend 	<ul style="list-style-type: none"> Dividend Payout Dividend Reinvestment Frequency 	Cheque/DD/UTR No _____ Dated _____ Amount _____ Drawn on _____

APPLICATION NO. **C**

TIME STAMP & DATE OF RECEIVING OFFICE

5 BANK ACCOUNT DETAILS (Refer Instruction No VI) MANDATORY for Redemption / Dividend / Refunds, if any

A/c Type *please* (✓) SB Current NRO NRE A/c Number

Bank Name

Branch City

PIN Code 11 Digit IFSC Code 9 Digit MICR Code

If MICR and IFSC code for Redemption/Dividend/ Payout is available all payouts will be automatically processed as Electronic Payout - RTGS/NEFT/Direct Credit/NECS.

6 INVESTMENT & PAYMENT DETAILS (Separate Application Forms are required for investment in each Plan / Option) (Refer instruction no IV& V) PAYMENT BY CASH IS NOT PERMITTED. Cheque should be made in favour of Scheme Name.

Please (✓)

Scheme	Plans	Options	Sub-Options
	<input type="checkbox"/> Direct Plan <input type="checkbox"/> Existing Plan	<input type="checkbox"/> Growth <input type="checkbox"/> Dividend	<input type="checkbox"/> Dividend Payout <input type="checkbox"/> Dividend Reinvestment Frequency _____

LUMPSUM	Payment Mode: <i>Please</i> (✓) <input type="checkbox"/> Cheque <input type="checkbox"/> DD <input type="checkbox"/> RTGS <input type="checkbox"/> NEFT <input type="checkbox"/> Fund Transfer
	Cheque / DD / RTGS / NEFT No. <input type="text"/> Cheque / DD / RTGS / NEFT Date <input type="text"/>
	Amount in ₹ (Figures) <input type="text"/> Amount in ₹ (words) <input type="text"/>
	Source Bank Name <input type="text"/> Source Branch <input type="text"/>
	Source Bank A/C No. <input type="text"/> Account Type <input type="checkbox"/> Savings <input type="checkbox"/> Current <input type="checkbox"/> NRE <input type="checkbox"/> NRO <input type="checkbox"/> FCNR
	Cheque Issuer Name <input type="text"/> In case the cheque is issued by a person other than the investor
Document attached in the case of third party payments <input type="checkbox"/> Proof / Bank Certificate for DD <input type="checkbox"/> Third Party Declarations	

MICRO SIP	<input type="checkbox"/> SIP Through Post Dated Cheques <input type="checkbox"/> SIP Through Auto Debit (Please fill and attach the SIP Auto Debit Form)
	SIP Period From <input type="text"/> To <input type="text"/> SIP Date <input type="checkbox"/> 1 st <input type="checkbox"/> 5 th <input type="checkbox"/> 10 th <input type="checkbox"/> 15 th <input type="checkbox"/> 20 th <input type="checkbox"/> 25 th
	SIP Frequency <input type="checkbox"/> Monthly <input type="checkbox"/> Quarterly Instalment Amount in ₹ (Figures) <input type="text"/> No. of Instalments <input type="text"/>
	Cheque Nos. From <input type="text"/> To <input type="text"/> Drawn on Bank A/c No. <input type="text"/>
	Bank Name <input type="text"/> Branch <input type="text"/>

7 NOMINATION Mandatory for single mode of holding (Please ✓, Refer instruction No VII)

I / We wish to nominate I / We do not wish to nominate

I / We do hereby nominate the person(s) more particularly described here under / and* / cancel the nomination made by me / us on the _____ day of _____ in respect of the Units under Folio Number _____

Name & Address of the Nominee(s)	Name & Address of Guardian <i>Incase Nominee is a Minor</i>	Date of Birth (Minor)	Proportion(%) by which the units will be shared by each Nominee <i>(Should aggregate to 100%)</i>	Signature of Nominee / Guardian of Nominee (Optional)
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>

Sole / 1st Applicant / Guardian / Authorised Signatory

2nd Applicant / Authorised Signatory

3rd Applicant / Authorised Signatory

8 DECLARATION

I/We would like to invest in _____ subject to terms of the Statement of Additional Information (SAI), Scheme Information Document (SID), Key Information Memorandum (KIM) and subsequent amendments thereto. I/We have read, understood (before filling application form) and is/are bound by the details of the SAI, SID & KIM including details relating to various services. I/We have not received nor been induced by any rebate or gifts, directly or indirectly, in making this investment. I/We declare that the amount invested in the Scheme is through legitimate sources only and is not designed for the purpose of contravention or evasion of any Act / Regulations / Rules / Notifications / Directions or any other Applicable Laws enacted by the Government of India or any Statutory Authority. I accept and agree to be bound by the said Terms and Conditions including those excluding/limiting the Indiabulls Mutual Funds (IBMF) Limited liability. I understand that the IBMF may, at its absolute discretion, discontinue any of the services completely or partially without any prior notice to me. In event "Know Your Customer" process is not completed by me/us to the satisfaction of the mutual fund. (I/We hereby authorize the mutual fund, to redeem the funds invested in the scheme, in favour of the applicant, at the applicable NAV prevailing on the date of such redemption and undertake such other action with such funds that may be required by the law). I/We hereby declare that I/we do not have any existing Micro SIP's which together with the current application will result in a total investments exceeding Rs 50000/- in a year. The ARN holder has disclosed to me/us all the commissions (in the form of trail commission or any other mode), payable to him for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me/us. I hereby declare that the above information is given by the undersigned and particulars given by me/us are correct and complete. **APPLICABLE FOR NRI INVESTORS:** I confirm that I am resident of India. I/We confirm that I am/We are Non-Resident of Indian Nationality/Origin and I/We hereby confirm that the funds for subscription have been remitted from abroad through normal banking channels or from funds in my/our Non-Resident External / Ordinary Account/FCNR Account. I/We undertake that all additional purchases made under this folio will also be from funds received from abroad through approved banking channels or from funds in my/our NRE/FCNR Account.

Sole / 1st Applicant / Guardian / Authorised Signatory

2nd Applicant / Authorised Signatory

3rd Applicant / Authorised Signatory

Micro SIP Investors are required to submit any of the following Photo Identification Document along with the Micro SIP applications as a proof of identification, if the investors PAN Card details are not provided: (1) Voter Identity Card; (2) Driving License; (3) Government/Defense identification card; (4) Passport; (5) Photo Ration Card; (6) Photo Debit Card (Credit card not included because it may not be backed up by a bank account); (7) Employee ID cards issued by companies registered with Registrar of Companies (the database is available at the following link of the Ministry of Company Affairs - <http://www.mca.gov.in/DCAPortalWeb/>); (8) Photo Identification issued by Bank Managers of Scheduled Commercial Banks / Gazetted Officer / Elected Representatives to the Legislative Assembly / Parliament; (9) ID card issued to employees of Scheduled Commercial / State / District Co-operative Banks; (10) Senior Citizen / Freedom Fighter ID card issued by Government; (11) Cards issued by Universities / deemed Universities or institutes under statutes like ICAI, ICWA, ICSI; (12) Permanent Retirement Account No (PRAN) card issued to New Pension System (NPS) subscribers by CRA (NSDL);

Please address all future communications in connection with this application to the Registrar and Transfer Agent of the scheme:

Karvy Computershare Pvt. Ltd. Unit: Indiabulls Mutual Fund Karvy Registry House #8-2-596, Avenue 4, Street No.1 Banjara Hills, Hyderabad - 500034. Email ID: indiabullsunite@karvy.com	Indiabulls Asset Management Company Ltd. Indiabulls House, Indiabulls Finance Centre, 11th Floor, Senapati Bapat Marg, Elphinstone (West), Mumbai - 400 013. Email ID: customercare@indiabullsmf.com
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INDIABULLS ASSET MANAGEMENT COMPANY LIMITED

Corporate Office:

Indiabulls House, Indiabulls Finance Centre, 11th Floor, Senapati Bapat Marg, Elphinstone Road (West), Mumbai – 400 013, INDIA. Phone number: 022-6189 1327 Fax number: 022- 6189 1320

E-Mail: customercare@indiabullsmf.com **Website:** www.indiabullsmf.com



REGISTRATION CUM AUTO DEBIT / ECS MANDATE FORM

- Application to be submitted at least 30 days before the commencement of SIP
- To be filled in CAPITAL letters

APPLICATION NO
C

REGISTRATION CUM MANDATE FORM FOR AUTO DEBIT / ECS (Debit Clearing) Please Tick

- New SIP Registration - by existing investor (Refer Instructions)
 - New SIP Registration - by new investor (Also attach the new application form duly filled & Signed)
 - Cancellation of SIP
 - Change of Bank Account
- I / We as per the details below hold an account with your branch as per the particulars stated below. *Please provide cancelled cheque*

APPLICANT DETAILS

Name Mr Ms M/s FIRST MIDDLE LAST Folio No

PAN PAN Proof Enclosed please

INITIAL INVESTMENT DETAILS (Refer Instruction No.12)

Please (✓) Cheque / DD RTGS NEFT

Scheme	Plans	Options	Sub-Options
	<input type="checkbox"/> Direct Plan <input type="checkbox"/> Existing Plan	<input type="checkbox"/> Growth <input type="checkbox"/> Dividend	<input type="checkbox"/> Dividend Payout <input type="checkbox"/> Dividend Reinvestment Frequency _____

Cheque / DD No Cheque / DD Date DD Charge Rs. Cheque / DD Net Amount Rs.

Bank Name Branch City

SIP DETAILS

Frequency Please ✓ Monthly Quarterly SIP Date Please ✓ 1 5 10 15 20 25

SIP AMOUNT ₹ (In figures) (In words)

Enrolment Period From M M Y Y Y Y To M M Y Y Y Y

I/We hereby authorise Indiabulls Mutual Fund/ Indiabulls Asset Management Company Limited and their authorised service providers, to debit my/our following bank account by ECS (Debit Clearing) / Direct Debit / Standing Instruction for collection of SIP payments.

BANK ACCOUNT DETAILS as in Bank Records

1st/ Sole Account holder Name Mr Ms M/s FIRST MIDDLE LAST

2nd Account holder Name Mr Ms M/s FIRST MIDDLE LAST

3rd Account holder Name Mr Ms M/s FIRST MIDDLE LAST

A/c Type please ✓ SB Current NRO NRE FCNR A/c Number

Bank Name Branch City

PIN CODE 11 Digit IFSC Code 9 Digit MICR Code

Mandatory: Please enter the 9 digit cheque number that appears after your cheque number. MICR code starting and / or ending with 000 are not valid for ECS.

Mandatory Enclosure: Blank Cancelled Cheque Or Copy of Cheque

DECLARATION

I/We wish to inform you that I/we have registered with Indiabulls Mutual Fund through their authorised Service Provider(s) and representative for my/our payment to the above mentioned beneficiary by debit to my/our above mentioned bank account. For this purpose I/We hereby approve to raise a debit to my/our above mentioned account with your branch. I/We hereby authorize you to honour all such requests received through to debit my/our account with the amount requested, for due remittance of the proceeds to the beneficiary. I/We undertake to keep sufficient funds in the funding account on the date of execution of standing instruction. I hereby declare that the particulars given above are correct and complete. If the transaction is delayed or not effected at all for reasons of incomplete or incorrect information, I would not hold the Mutual Fund or the responsible. If the date of debit to my/our account happens to be a non business day as per the Mutual Fund or a Bank holiday, execution of the transaction will happen next working day and allotment of units will happen as per the Terms and Conditions listed in the Document of the Mutual Fund. The above mentioned Bank shall not be liable for, nor be in default by reason of, any failure or delay in completion of this service, where such failure or delay is caused, in whole or in part, by any acts of God, civil war, civil commotion, riot, strike, mutiny, revolution, fire, flood, fog, war, lightning, earthquake, change of Government policies, Unavailability of Bank's computer system, force majeure events, or any other cause of peril which is beyond the above mentioned Banks reasonable control and which has the effect of preventing the performance this service by the above mentioned Bank. I/We shall not dispute or challenge any debit, raised under this mandate, on any ground whatsoever. I/We shall not have any claim against the Bank in respect of the amount so debited pursuant to the mandate submitted by me/us. I/We shall keep the Bank and, jointly and or severally indemnified from time to time, against all claims, actions, suits, for any loss, damage, costs, charges and expenses incurred by the Bank and, by reason of their acting upon the instructions issues by the above named authorized signatories/beneficiaries. This request for debit mandate is valid and may be revoked only through a written letter withdrawing the mandate signed by the authorized signatories/beneficiaries and acknowledged at your counters and giving reasonable notice to effect such withdrawal. The ARN holder has disclosed to me/us all the commissions (in the form of trail commissions or any other mode), payable to him for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me/us.

SIGNATURE/S AS PER INDIABULLS RECORDS MANDATORY	
Sole / 1st Applicant / Guardian Authorised Signatory	<input type="text"/>
2nd Applicant / Guardian Authorised Signatory	<input type="text"/>
3rd Applicant / Guardian Authorised Signatory	<input type="text"/>

SIGNATURE/S AS PER BANK RECORDS MANDATORY	
Sole / 1st Applicant / Guardian Authorised Signatory	<input type="text"/>
2nd Applicant / Guardian Authorised Signatory	<input type="text"/>
3rd Applicant / Guardian Authorised Signatory	<input type="text"/>

FOR OFFICE USE ONLY Not to be filled by the Investor

Recorded on

Scheme Code

Recorded by

Credit A/c No.

Bank use Mandate Ref. No.

Customer Ref. No.

INSTRUCTIONS cum TERMS AND CONDITIONS FOR AUTO DEBIT

SIP payment through Auto Debit via Direct Debit and Electronic Clearing Service (ECS Clearing) of the Reserve Bank of India (RBI)

LIST OF CITIES FOR SIP FACILITY VIA ECS (DEBIT CLEARING) (87 CENTERS)

Delhi , Ludhiana, Amritsar, Jalandhar, Chandigarh , Shimla ,Jammu, Kanpur, Allahabad, Varanasi , Lucknow, Dehradun, Gorakhpur, Agra, Jaipur, Bhillwara, UdaiPur, Jodhpur, Rajkot, Jamnagar, Ahmedabad, Baroda, Surat, Mumbai, Goa,Pune,,Sholapur, Kolhapur, Nasik, Aurangabad, Nagpur, Indore, Bhopal, Gwalior, Jabalpur, Raipur, Hyderabad, Tirupati, Vijaywada, Nellore, Vizag, Kakinada, Bangalore, Mysore, Mangalore, Udipi, Hubli , Gadag, Bijapur, Belgaum, Davangere, Shimoga,Tumkur, Mandya, Hasan, Gulbarga, Raichur, Chennai, Pondicherry, Trichy, Madurai, Salem, Erode, Tiruppur, Tirunelveli, Coimbatore, Calicut, Trichur, Cochin, Trivandrum, Kolkata, Bardhaman, Durgapur, Siliguri, Bhubaneswar,Guwahati, Patna, Dhanbad,Jamshedpur, Ranchi, Haldia, Asansol, Cuttack ,Bikaner, Gangtok, Anand, Bhavnagar, Kota, Imphal, Shillong

I. GENERAL INSTRUCTIONS

1. Please refer the SID, SAI and Key Information Memorandum, Addenda issued from time to time and our website www.indiabullsrfm.com for instructions, terms and conditions, Applicable NAV, Risk Factors, load and other information, various requirements of the respective Scheme / Plan before investing. It is deemed that the investor has agreed to all the terms and conditions as prevailing on the date of the application and investment.
2. This facility is only offered to those investors having bank accounts in select cities as mentioned above. The list of cities, may be modified/updated/changed/removed at any time in future at the discretion of AMC without assigning any reasons or prior notice. If the SIP auto debit facility is discontinued in a city for any reason, SIP instructions for investors in such city via ECS (Debit) route/Direct debit/SI may be discontinued without prior notice.
3. PAN is mandatory for all investors. However, investments through Micro SIPs, i.e. where aggregate of installments per year per investor does not exceed Rs. 50,000, will be exempt from the requirement of PAN. Such investors must submit a valid and self attested standard photo identification and address proof documents in lieu of PAN. List of acceptable documents are available with the ISCs of the AMC/Registrar. If the identification document is not in order, or registration of SIP results in aggregate of the SIP investments exceeding Rs. 50,000 per investor per year, the SIP will be rejected.
4. If SIP application form and cheque is submitted with bank details of a city where the Mutual Fund does not provide auto debit facility, first SIP cheque may get processed. However, future debits may not happen and SIP instruction may get rejected.
5. In case of rejection of SIP form for any reason whatsoever, the Mutual Fund will not entertain any request for refund of proceeds of first cheque which is already processed. Investors will have to redeem the units allotted through first cheque at applicable NAV.
6. To avail of SIP in separate schemes/plans via debit facility, an investor will have to fill a separate form for each scheme/plan. A single form cannot be used for different schemes simultaneously and may be rejected.
7. Complete application form and SIP Autodebit form along with the first cheque (drawn on the same bank account which is to be registered for ECS Debit/Direct Debit / Standing Instruction) should be submitted to the Mutual Fund or the Registrar. AMC reserves the right to reject any application without assigning any reason thereof. Incorrect, incomplete or ambiguous forms will not be accepted and will be returned to the investor within 20 days via normal post.
8. The bank account provided for ECS should participate in local MICR clearing. In case MICR code is not provided or incorrect code is mentioned on the application form, the application for SIP will be liable to be rejected.
9. The first SIP cheque /draft could be of any Business day but subsequent cheques or ECS /Auto Debit Transactions should be dated 1st or 5th or 10th or 15th or 20th or 25th and there should be minimum gap of at least 30 Days between the 1st SIP and the 2nd SIP or as specified by IBMF from time to time
10. If the date on the cheque/draft/ECS/Auto Debit Transactions is a non - Business Day for the scheme ,then the units shall be allotted on the next Business Day.
11. In case the first cheque is issued from a different bank account or is a demand draft or in case of renewal of SIP debit, then investors should attach a cancelled cheque or a copy of the cheque pertaining to bank account which is to be registered for ECS Debit/direct debit / standing instruction. Alternatively, investors can also get the bank account mentioned on the form certified from their banker with the bank seal.
12. It will take a minimum of 30 days for registration with the bank and hence the first debit will be carried out only after 30 days, on the SIP start date mentioned on the form.
13. The SIP period should cover minimum SIP instalment amount: Monthly: Rs. 1000/-

and in multiples of Re. 1/- thereafter and Quarterly: Rs. 3000/- and in multiples of Re. 1/- thereafter.

Minimum No. of SIP installments : monthly - 12 installments, quarterly – 4 installments [including the first SIP cheque]. The Mutual Fund and Registrar reserve the right to modify the SIP period depending on the 30 days period for registration and to ensure minimum instalments.

14. If no start date is specified, SIP will be registered to start from a period after 30 days on the SIP date as available / mentioned. In case of the auto debit facility, the default options (**where auto debit period, frequency and SIP date are not indicated**) will be as follows:

- SIP auto debit period: The SIP auto debit will continue till 5 years.
- SIP date: 15th of the month (commencing 30 days after the first SIP installment date); and
- SIP frequency: Monthly.

15. The investor agrees to abide by the terms and conditions of ECS facility of the Reserve Bank of India (RBI) as applicable at the time of investment and as may be modified from time to time at a later stage.
16. The investor undertakes to keep sufficient funds in the funding account till the date of execution of standing instruction / Direct debit / Auto Debit. The investor hereby declares that the particulars given above are correct and complete. If the transaction is delayed or not effected at all for reasons of incomplete or incorrect information or due to any reason by the investor's banker, the investor would not hold the Mutual Fund or the Bank responsible. If the date of debit to the investor's account happens to be a non Business Day as per the Mutual Fund, execution of the ECS Debit / Direct Debit / SI may happen on non business and allotment of Units will happen as per the terms and conditions listed in the SID, SAI, KIM and all relevant Addenda of the scheme concerned.
17. The Mutual Fund, its Registrars, Direct Debit Banks and other service providers shall not be liable for, nor be in default by reason of, any failure or delay in completion of its obligation under this agreement, where such failure or delay.

II. DOCUMENTS REQUIRED

New Investors are required to submit the following documents:

- a. SIP Enrolment along with Registration cum Auto Debit/ECS Mandate Form.
- b. The Initial investment amount cheque should be issued from the same bank account which is to be debited under ECS/Auto Debit for SIP instalments
- c. A photo copy/cancelled cheque from ECS Debit Account (as mentioned on the application form should be submitted along with other requirements.

Existing Investors are required to submit SIP Registration cum Auto Debit/ECS Mandate Form.

III. CHANGE OF BANK

In order to change the existing bank account for NECS/SIP Auto Debit investors need to submit the Autodebit form selecting a change of bank option in the form to the existing SIP, 30 days before the next SIP debit.

IV. DISCONTINUE / CANCELLATION OF SIP

The investor has the right to discontinue SIP at any time he/she so desires by sending a written request 30 days in advance of the immediate next due date to any of the offices of Indiabulls Mutual Fund or its Authorized Collection Centres. On receipt of such request SIP will be terminated. Further, Indiabulls Mutual Fund shall have the right to discontinue the SIP in case of 3 consecutive failures.

V. PAN and KYC

PAN & KYC is mandatory irrespective of amount of investment, except in case of MICRO SIP (Refer General Instruction No II, 6 & 7 for details)

INSTRUCTIONS TO HELP YOU COMPLETE THE MAIN APPLICATION FORM

I. GENERAL INSTRUCTION:

1. Please read the Key Information Memorandum (KIM), Statement of Additional Information (SAI) and Scheme Information Document (SID) carefully before investing. All applicants are deemed to have read, understood and accepted the terms subject to which this offer is being made and bind themselves to terms upon signing the Application Form and tendering payment.
2. The application form must be filled in English in BLOCK letters using Black or Dark Blue colored ink. Incomplete applications are liable to be rejected. Please ensure that the requisite details and documents have been provided. This will help in avoiding processing delays and / or rejection of your Application Form. All subscription application forms should be submitted only at the designated Investor Service Center of Indiabulls Mutual Fund (IBMF).
3. The Applicant's name and address must be given in full (P.O. Box No. alone is not sufficient). In case of multiple applicants, all communication and payments towards redemption will be made in the name of / favoring first applicant only. If the first applicant is a minor, the name of the Guardian who will sign on behalf of minor should be filled in the space provided. Please fill in your date of birth as this may be required for validating your identity for certain transactions/ communication. Also, please provide Telephone No. / E-mail Id. of the first applicant, so as to facilitate faster and efficient communication.
4. All applicants must sign the form, (quoting existing Folio no, if any). Thumb impressions must be attested by a Judicial Magistrate/Notary Public under his/her official seal. In case of HUF, the Karta should sign on behalf of the HUF. Authorised signatories, signing on behalf of a Co./Body Corp./Society/Trust etc should sign under their official seal, designation. A list of Authorised Signatories with their names & designations duly certified / attested by the bankers / authorised person as per BR / Company Secretary should be attached with the application form.
5. For NRI investors, Name and address must be given in full (P.O. Box Address is not sufficient). In the case of NRI/PIO/FII investors, an overseas address must also be provided.
6. Please note that if no Plan is ticked / indicated in the Application form, the units will, by default, be allotted under the Growth Plan of the Scheme. Similarly, Dividend Reinvestment Option of Dividend Plan shall be the default sub-options.
7. In case of Mode of Holding is not mentioned for Joint Holder's the default mode of holding would be Joint.
8. If the investment is done on behalf of the minor then minor shall be the sole holder in the folio / account. Joint holding will not be allowed in the folio/account opened on behalf of the minor.
9. Guardian in the folio on behalf of minor should either be a Natural guardian (i.e. father or mother) or a court appointed Guardian.
10. For Direct Investment Please Mention "Direct in the Column "Name & Broker Code/ARN"
11. Investors can opt for Special facilities like Systematic Investment Plan, Systematic Transfer Plan, Systematic Withdrawal Plan, Please fill separate Transaction / Enrolment Form available on our website and at our ISCs.
12. The guardian cannot undertake any financial and non-financial transactions including fresh registration of Systematic Transfer Plan (STP), Systematic Investment Plan (SIP) and Systematic Withdrawal Plan (SWP) after the date of minor attaining majority till the documents for change in status are provided.
13. In case the new investor has selected Auto debit/ECS mode for SIP then a separate SIP Registration cum Auto debit /ECS mandate form has to be submitted along with SIP Application Form. In case an existing investor has selected Auto debit/ECS mode for SIP then only SIP Registration cum Auto debit/ECS mandate form has to be submitted.
14. **Overwriting on application forms/transaction slips:** In case of corrections / overwriting on key fields (as may be determined at the sole discretion of the AMC) of the application forms/transaction slips, the AMC reserves the right to reject the application forms/transaction slips, in case the investor(s) has/have not countersigned in every place where such corrections/overwriting has/have been made.

II. APPLICANT'S INFORMATION:

1. In case the application is made under a Power of Attorney (PoA), a duly certified copy thereof, duly notarised should be submitted with the application. The POA document should contain the signatures of both the Applicant & the constituted Attorney.
2. Application made by a limited company or by a body corporate or a registered society or a trust, should be accompanied by a copy of the relevant resolution or authority to make the application, as the case may be, along with a certified copy of the Memorandum and Articles of Association or Trust Deed / Bye Laws / Partnership Deed, whichever is applicable.
3. In case of non-individual applicants, i.e. HUF / Companies / AOP / BOI / Trusts / Societies / FII's etc. the name, email-ID and telephone number of the contact person to should be provided.
4. Date of Birth of the minor is mandatory while opening the account /folio.
5. In case the investment is done on behalf of minor the relationship/status of the guardian as father, mother or legal guardian and Date of birth of minor shall be specified in the application form and following documents shall be submitted along with the application form as evidence:
 - a. Birth certificate of the minor, or
 - b. School leaving certificate / Mark sheet issued by Higher Secondary Board of respective states, ICSE, CBSE etc., or
 - c. Passport of the minor, or
 - d. Any other suitable proof evidencing the date of birth of the minor.
 - e. In case of court appointed legal guardian, supporting documentary evidence shall be provided.
 - f. In case of natural guardian a document evidencing the relationship if the same is not available as part of the documents submitted as per point. i - iv above.
6. **Permanent Account Number (PAN)**
 - a. Please furnish the PAN and KYC details of each applicant / unit holder, including the guardian and /or power of attorney (POA) holder as explained in the paragraphs below. It is mandatory for all investors to quote their PAN and submit certified copy of the PAN card issued by the Income Tax Department, irrespective

- a. of the amount of investment, while making an application for purchase of units of the scheme. Investors will be required to submit the original PAN card for verification. In case of joint holding, PAN details of all holders should be submitted. In case the application is on behalf of a minor, PAN details of the guardian must be submitted.
- b. As per SEBI circular no. MRD/ DoP/MF/Cir - 08/2008 dated April 3, 2008 and circular no. MRD/DoP/Cir20/2008 dated June 30, 2008 investors residing in the state of Sikkim and Central Government, State Government and the officials appointed by the courts e.g. Official liquidator, Court receiver etc.(under the category of Government) respectively are exempted from the mandatory requirement of PAN for their investments in Mutual Funds. However, this would be subject to verification of the veracity of the claim of the investors by collecting sufficient documentary evidence. The AMC reserves the right to ask for the necessary documentation to the satisfaction of the Mutual Fund. Applications without the aforesaid details are liable to be rejected without any reference to the investors.
- c. Micro investments exempt from PAN Requirement:
Investments in the schemes {including investments through Systematic Investment Plan (SIP)} of less than ₹ 50,000/- (Rupees Fifty Thousand) per year per investor shall be exempted from requirement of PAN.
- d. PAN requirement shall be exempted if the aggregate of the lump sum investment (fresh purchase & additional purchase) and SIP installments by an investor in rolling 12 months period or financial year i.e. April to March does not exceed ₹ 50,000/- (Rupees Fifty Thousand) (hereafter referred to as "Micro investments"). However, the requirements of Know Your Customer (KYC) shall be mandatory for all investments, irrespective of the amount of investment.
- e. The exemption shall be available for eligible investors, being individuals (including Joint holders who are individuals, NRIs but not PIOs), Minors, Sole proprietary firms. HUFs and other categories of investors will not be eligible for this exemption. For the purpose of identifying Micro investments, the value of investments at the investor level will be aggregated and such aggregation shall be done irrespective of the number of folios / accounts under which the investor has invested. Investors residing in the state of Sikkim are also exempted from the mandatory requirement of PAN proof submission; however sufficient document shall have to be submitted, in accordance with the process as per the KYC guidelines for verifying that they are residents of the State of Sikkim i.e. a) Proof of address of Sikkim state and application form should mention the same address. b) Address proof shall be self attested by the investor / attested by the ARN holder mentioning the ARN number or attested by any competent authority.

7. Know Your Client (KYC)

In accordance with requirements under the Prevention of Money Laundering Act, 2002, (PMLA) the Rules issued there under and the guidelines and circulars on Anti-Money Laundering issued by SEBI, (collectively "AML Regulations"), mutual funds are required to formulate and implement Client Identification Programme to verify and maintain the record of identity and address(es) of investors. This is commonly referred to as 'Know Your Client' guidelines (KYC).

With a view to streamline implementation of KYC procedures and ensure compliance with the AML Regulations, the mutual fund industry had collectively put in place arrangement with an independent agency (CDSL Ventures Limited) that acted as central record keeping agency ('Central Agency'), and as Central Agency had the responsibility for collection of documents relating to identity and address of investors.

In order to avoid duplication of KYC process with every SEBI registered intermediary and with a view to bringing about uniformity in the KYC requirement and a mechanism for centralization of the KYC records in the securities market, SEBI has vide its various circulars, viz. MIRSD/SE/Cir- 21/2011 dated October 5, 2011, MIRSD/ Cir-26/ 2011 dated December 23, 2011 and the SEBI (KYC Registration Agency) Regulations 2011, introduced common KYC across market intermediaries. With effect from January 01, 2012 ("Effective Date"), SEBI has introduced a common KYC Application Form for all the SEBI registered intermediaries viz. Mutual Funds, Portfolio Managers, Depository Participants, Stock Brokers, Venture Capital Funds, Collective Investment Schemes, etc.

Following are the KYC requirements for new / prospective investors and existing investors:

a. KYC requirements for new / prospective investors:

New / Prospective Investors are requested to use the common KYC Application Form and carry out the KYC process including In-Person Verification (IPV) with any SEBI registered intermediaries including mutual funds. The KYC Application Forms are available on the websites www.indiabullsmf.com/www.amfiindia.com. The Mutual Fund shall perform the initial KYC of its new investors and may also undertake enhanced KYC measures commensurate with the risk profile of its investors in line with the aforesaid circulars / Prevention of Money Laundering Act, 2002, and circulars thereto. The Mutual Fund shall upload the details of the investors on the system of the KYC Registration Agency (KRA). Registrar & Transfer Agent (RTA) of the Mutual Fund may also undertake the KYC of the investors on behalf of the Mutual Fund. On receipt of initial/updated KYC documents from the Mutual Fund, the KRA shall send a letter to the investor within SEBI prescribed timelines, confirming the details thereof.

It is mandatory for intermediaries including mutual funds to carry out In-Person Verification (IPV) of its new investors from the Effective Date. The IPV carried out by any SEBI registered intermediary can be relied upon by the Mutual Fund. The AMC and National Institute of Securities Markets (NISM)/Association of Mutual Funds in India (AMFI) certified distributors who are Know Your Distributor (KYD) compliant are authorised to undertake the IPV for Mutual Fund investors. Further, in case of any applications received directly (i.e. without being routed through the distributors) from the investors, the Mutual Fund may rely upon the IPV (on the KYC Application Form) performed by the scheduled commercial banks.

b. KYC requirements for existing investors:

Existing KYC compliant investors of the Mutual Fund can continue to invest as per the current practice. However, pursuant to SEBI circular no. MIRSD/ Cir-5 /2012 dated April 13, 2012 and subsequent SEBI communication, investors who invest / deal with mutual funds and had completed the erstwhile Centralised Mutual Fund KYC through CDSL Ventures Limited, are required to provide KYC details and complete IPV as per the new KYC requirements, which was not mandated earlier, latest by November 30, 2012. Provided however, if such investors trade / invest / deal

with a SEBI registered intermediary other than a mutual fund, then such intermediaries would obtain from the investors the missing KYC details and complete IPV as per new KYC requirements, as per the timelines specified in the aforementioned SEBI circular dated April 13, 2012. It is mandatory for all categories of investors to be KYC compliant for any amount of investment. AMC reserves the right to reject application forms for transactions in units of the Mutual Fund not accompanied by common KYC Application Form or letter/ acknowledgement issued by CVL/ the KRA. The KYC compliance status of the investors will be validated with the records of CVL / the KRA. For units held in demat form the KYC performed by the Depository Participant of the applicants will be considered as KYC verification done by the Trustee/AMC. For further details, please refer to the Section on "Prevention of Money Laundering and Know Your Client ("KYC") requirements" in the Statement of Additional Information (SAI).

8. **Transaction Charges (applicable for both existing and new investors)**
In accordance with SEBI Circular No. Cir/ IMD/ DF/13/ 2011 dated August 22, 2011 the following are the terms and conditions relating to Transaction Charges:
- The Distributor (who has opted in) would be allowed to charge the Mutual Fund Investor a Transaction Charge where the amount of investment is Rs. 10,000/- and above per subscription.**
 - For existing investors in a Mutual Fund, the Transaction Charge allowed will be Rs. 100/- per subscription of Rs. 10,000/- and above. For a first-time investor in a Mutual Fund, the Transaction Charge allowed will be Rs. 150/- per subscription of Rs. 10,000/- and above.**
 - In case of Systematic Investment Plans (SIPs), the Transaction Charge will be applicable only if the total commitment through SIPs at the time of starting the SIP amounts to Rs.10,000/- and above. In such cases, the Transaction Charge will be recovered by the AMC in 3 to 4 instalments during the tenure of the SIP and paid to the distributor. This is applicable only in case of open ended schemes which offer the facility of SIP.**
 - The Transaction Charge, where applicable based on the above criteria, will be deducted by the AMC from the subscription amount remitted by the investor and paid to the distributor; and the balance (net) amount will be invested in the Scheme. Thus units will be allotted against the net investment.**
 - No Transaction charges shall be levied:**
 - Where the investor purchases the Units directly from the Mutual Fund.
 - Where the amount of investment is below Rs. 10,000/- per subscription.
 - On transactions other than purchases/ subscriptions relating to new inflows, Switch-in / Transfer / Transmission of units/Allotment of Bonus Units / Dividend reinvestment Units will not be considered as subscription for the purpose of levying the transaction charge.
 - The statement of account shall clearly state that the net investment as gross subscription less transaction charge and give the number of units allotted against the net investment. The transaction charges are in addition to the existing system of commission permissible to the Distributors. The transaction charges will be compliant with SEBI Circular, dated Aug 22, 2011.**

III. DEMAT/NON-DEMAT MODE:

- Applicants/ Unitholders/Investors who wish to hold units in dematerialized form must have a beneficiary account with National Securities Depository Limited (NSDL) or Central Depository Services (India) Ltd. (CDSL) prior to making the application.
- Please fill in the DP ID number, DP name and Beneficiary Account number with the DP, in the application form. In case of no details/ incorrect / incomplete details, allotment will be made in physical form provided KYC acknowledgement proof is attached.
- Ensure that names in the application form should be identical to those appearing in the account details in the depository. In case of joint holders, the names should necessarily be in the same sequence as they appear in the account details in the depository.**
- For allotment in electronic form, units will be credited directly in the demat account of the investor.
- The details available with the DP regarding demat account of the investor will be updated in the folio of the investor.
- The ISIN No. details of the respective option can be obtained from your Depository Participant (DP) or you can access the website link www.nsdl.co.in or www.cdslindia.com.
- The holding of units in the dematerialised mode would be subject to the guidelines/ procedural requirements as laid by the Depositories viz. NSDL/CDSL from time to time.
- The applicant shall mandatorily attach a self-attested copy of the latest demat account statement/client master statement along with the application forms at the time of initial subscription.
- The options viz. Weekly Dividend, Fortnightly Dividend and Monthly Dividend and the facilities viz. Switch in and out, Systematic Withdrawal Plan (SWP)/ Systematic Transfer Plan (STP), if applicable under the Scheme, are currently NOT available in the dematerialised mode.
- Submission of KYC acknowledgement proof is optional.
- In case of those unit holders, who hold units in demat form, the bank mandate available with the respective DP will be treated as the valid bank mandate for the purpose of pay-in at the time of subscription or purchase/ pay-out at the time of maturity or at the time of any corporate action.
- The investor who holds units in the demat mode is required to place an order for redemption (subject to applicable limits prescribed in SID, if any or as may be communicated from time to time) directly with the DP.
- For those investors who hold units in Demat mode, all non-financial transaction such as Change in Address, Bank Mandate, Nominee Registration etc should be routed directly through their DP's as per the format defined by them.
- It may also be noted that units in the demat mode shall only be credited in the DP account on the basis of realization of funds.
- If the Unit holder desires to convert the Units in a dematerialised form at a later

- date, the unit holder need to contact the DP for detailed procedure. For the detailed procedure and other provisions on holding units in the demat mode, investor may refer to the SID/SAI/KIM and addendums thereto.

IV. INVESTMENT & PAYMENT DETAILS:

- Payment should be made by crossed cheques, /Demand Draft/payorder, favouring the scheme name and marked "Account Payee" payable locally in the city where application is submitted.
- Payments by Stock-invests, cash, postal orders, money orders and outstation cheques will not be accepted at such locations where the Customer Service Centers/ Collection Centers of the AMC are located.
- Investment/subscription made through Third Party Cheque(s) will not be accepted.**
Third party cheque(s) for this purpose are defined as: (i) Investment made through instruments issued from an account other than that of the beneficiary investor, (ii) in case the investment is made from a joint bank account, the first holder of the mutual fund folio is not one of the joint holders of the bank account from which payment is made. Third party cheque(s) for investment/subscription shall be accepted, only in exceptional circumstances, as detailed below:
Payment by Parents/Grand-Parents/related persons for investment made on behalf of a minor in consideration of natural love and affection or as gift for a value not exceeding ₹.50,000/- (each regular purchase or per SIP installment).
- Payment by Employer on behalf of employee under Systematic Investment Plans through Payroll deductions.
- Custodian on behalf of a Foreign Institutional Investor (FII) or a client.
The above mentioned exception cases will be processed after carrying out necessary checks and verification of documents attached along with the purchase transaction slip/application form, as stated below:
 - Determining the identity of Investor and the person making payment i.e. mandatory Know Your Client (KYC) for Investor and the person making the payment.
 - Obtaining necessary declaration from the Investor/unitholder and the person making the payment. Declaration by the person making the payment should give details of the bank account from which the payment is made and the relationship with the beneficiary. Verifying the source of funds to ensure that funds have come from the drawer's account only.
- In case of investment/subscriptions made via Pay Order, Demand Draft, Banker's cheque, RTGS, NEFT, ECS, bank transfer, net banking etc. Following additional checks shall be carried out:**
 - If the investment/subscription is settled with pre-funded instruments such as Pay Order, Demand Draft, Banker's cheque, etc., a Certificate from the Issuing banker must accompany the purchase application, stating the Account holder's name and the Account number which has been debited for issue of the instrument. The funds should be debited from a pre-registered pay in account available in the records of the Mutual fund, or from the account of the first named unit holder. Additionally, if a pre-funded instrument issued by the Bank against cash, it shall not be accepted for investments of ₹ 50,000/- or more. Such pre-funded instrument issued against cash payment of less than ₹ 50,000/- should be accompanied by a certificate from the banker giving name, address and PAN (if available) of the person who has requested for the demand draft.
 - If payment is made by RTGS, NEFT, ECS, bank transfer, etc., a copy of the instruction to the bank stating the account number debited must accompany the purchase application. The account number mentioned on the transfer instruction should be from pay in account available in the records, or from the account of the first named unit holder.
Investors are requested to note that AMC reserves right to have additional checks of verification for any mode of payment received. AMC reserves the right to reject the transaction in case the payment is received in an account not belonging to the first unit holder of the mutual fund. In case of investors with multiple accounts, in order to ensure smooth processing of investor transactions, it is advisable to register all such accounts, as the investments/subscriptions received from the said multiple accounts shall be treated as 1st party payments. Please refer Third Party Payment Declaration form.
 - In accordance with RBI Circular No. DPSS.CO.CHD.No. 399/ 04.07.05/ 2012-13 dated September 3, 2012, with effect from 01.01.2013 banks will not be accepting Non CTS (Cheque Truncation System) 2010 Standard cheques. Hence, in order to comply with the same, Investments with Non - CTS 2010 Standard cheques will not be accepted from 28.12.2012 (29.12.2012 & 30.12.2012 being Saturday & Sunday) onwards as the same will not be accepted by the clearing house/ payee banker w.e.f 01.01.2013 unless any extension is granted by RBI in the meantime.
We, therefore, request you to kindly visit your Bank and collect new CTS-2010 Standard cheques for a smooth banking activity.
- NRI/FII/PIO Investors**
 - Repatriation basis:** Payments by NRIs / FII's / Persons of Indian Origin (PIO's) residing abroad, may be made either by way of Indian Rupee demand drafts or cheques by means of (i) inward remittance through normal banking channels; (ii) or out of funds held in NRE/FCNR accounts payable at par and payable at the cities where the Customer Service Centre's are located. In case of Indian Rupee drafts purchased or cheques issued from NRE/ FCNR Account, an account debit certificate from the bank issuing the draft confirming the debit should also be enclosed. In case the debit certificate is not provided, the AMC reserves the right to reject the application of the NRI investors.
 - Non Repatriation basis:** NRIs or people of Indian origin residing abroad investing on a non-repatriable basis may do so by issuing cheques / demand drafts drawn on a Non-Resident Ordinary (NRO) account payable at the cities where the Customer Service Centres are located.
- Transactions without Scheme/Option Name:** In case of fresh/additional purchases, if the name of the Scheme on the application form/transaction slip differs with the name on the Cheque/Demand Draft, then the AMC will allot units under the Scheme mentioned on the payment instrument. In case of fresh/additional purchases, if the Scheme name is not mentioned on the application form/transaction slip, then the units will be allotted under the Scheme mentioned on the Cheque/Demand Draft. The Plan/Option that will be considered in such cases if not specified by the customer will be the default option of the Scheme as per the SID. However, in case additional purchase is under the same scheme as fresh purchase, then the AMC reserves the right to allot units in the option under which units were allotted at the time of fresh purchase.
- Payment By Cash Is Not Permitted:** Investors from such centers, who do not have,

a facility to pay by local cheque, as there are no Collection Centres of IBMF, will be permitted to deduct the actual DD commission's charges. Documentary proof thereof is to be attached, if not attached the AMC reserves the right to call for the same at a later date. The amount of the DD commission charges will be limited to the actual charges paid or DD charges of State Bank of India, whichever is lower. (Separate application form is required for investment in each plan/option.)

V. OPTIONS

Direct Plan:

In accordance with Para D titled "Separate Option for direct investments" under Circular no. CIR/IMD/DF/21/2012 dated September 13, 2012 issued by Securities and Exchange Board of India (SEBI), Indiabulls Trustee Company Limited, Trustee to Indiabulls Mutual Fund ("Fund"), has decided to introduce a separate plan for direct investments (i.e. investments not routed through an AMFI Registration Number (ARN) Holder ("Distributor") (hereinafter referred to as "Direct Plan") as under:

Introduction of Direct Plan: Direct Plan is only for investors who purchase /subscribe Units in a Scheme directly with the Fund and is not available for investors who route their investments through a Distributor.

Plans / Options / Sub-options: All Options / Sub-Options/facilities offered under the Scheme are available for subscription under Direct Plan as well as Indirect Plan.

Scheme characteristics: Scheme characteristics such as Investment Objective, Asset Allocation Pattern, Investment Strategy, risk factors, facilities offered and terms and conditions will be the same for the Indirect Plan and the Direct Plan except that:

Direct Plan shall have a lower expense ratio excluding distribution expenses, commission, etc and no commission for distribution of Units will be paid / charged under Direct Plan.

Eligible investors / modes for applying: All categories of investors (whether existing or new Unitholders) as permitted under the Scheme Information Document of the Schemes are eligible to subscribe under Direct Plan. Investments under Direct Plan can be made through various modes offered by the Fund for investing directly with the Fund (except Stock Exchange Platform(s) and all other Platform(s) where investors' applications for subscription of units are routed through Distributors).

How to apply: Investors subscribing under Direct Plan of a Scheme will have to indicate "Direct Plan" against the Scheme name in the application form. Investors should also indicate "Direct" in the ARN column of the application form. However, in case Distributor code is mentioned in the application form, but "Direct Plan" is indicated against the Scheme name, the Distributor code will be ignored and the application will be processed under Direct Plan. Further, where application is received for Existing Plan without Distributor code or "Direct" mentioned in the ARN Column, the application will be processed under Direct Plan. If no plan i.e. Direct / Indirect is ticked, then application having ARN no. will be considered under Indirect Plan.

VI. DIRECT CREDIT OF DIVIDEND / REDEMPTION

1. Direct Credit of Redemption / Dividend Proceeds / Refund if any -IBMF will endeavor to provide direct / electronic credit for dividend / redemption payments into the investors bank account directly. In case the direct credit is not affected by the unitholder's banker for any reason then IBMF reserves the right to make the payment to the investor by a cheque / DD. If the electronic credit is delayed or not affected or credited to a wrong account, on account of incomplete or incorrect information, IBMF will not be held responsible. Please provide the MICR Code/IFSC code on the right bottom of your Cheque for us to help you in future for ECS/NEFT credit of dividend and redemption payout.
2. IBMF offers facility to register multiple bank accounts in the folio and designate one of the bank account as "Default Bank account". Default bank account will be used for all dividend and redemption payouts unless investor specifies one of the existing registered bank account in the redemption request for receiving redemption proceeds. A new non - registered bank account specified in the redemption request for receiving redemption proceeds will not be considered and the redemption proceeds will by default be credited into the default Bank account. The investor will have to initially get the non registered bank account registered in the folio and then apply for the redemption request. Also if no registered bank account is mentioned at the time of redemption then by default the redemption proceeds will be credited into the default Bank account. Please refer multiple bank account form for registration.

VII. NOMINATION FOR UNITS HELD IN PHYSICAL MODE:

1. Nomination facility is available to individuals applying on their own behalf i.e. singly or jointly. Nomination is mandatory for folios opened by individuals with single mode of holding.
2. Multiple nominee (Resident, NRI, Including Minor) can be nominated. Nomination can also be in favour of the Central Government, State Government, a local authority, any person designated by virtue of his office or a religious or charitable trust.
3. Nomination of an NRI is subject to requirements, if any, prescribed by RBI and SEBI from time to time.
4. Nomination can be changed at any time during the currency of the investment by the same persons who have made the nominations.
5. Unitholder being either parent or lawful guardian on behalf of a minor and an eligible institution, societies, bodies corporate, HUF, AoPs, Bols and partnership firms shall have no right to make any nomination.
6. The nominee shall not be a trust (other than a religious or charitable trust), society, body corporate, partnership firm, karta of HUF or power of attorney holder.
7. On registration of nomination a suitable endorsement shall be made on the statement of account or in the form of a separate letter.
8. The facility of nomination is available to a unitholder under SEBI (MFs) Regulations and guidelines issued by SEBI from time to time.
9. Nomination in respect of units stands rescinded, upon the transfer of units.
10. On cancellation of nominations, the nomination shall stand rescinded and IBMF shall not be under any obligation to transfer the units in favour of the nominee

11. Where a nomination in respect of any unit has been made, the units shall, on the death of the unitholder(s), vest in the nominee and on compliance of necessary formalities the nominee shall be issued a SOA (Statement of Account) in respect of the units so vested subject to any charge or encumbrance over the said units. Nominee would be able to hold the units provided he is otherwise eligible to become a unitholder of the scheme.
12. Where there are two or more unitholders one of whom has expired the title to units shall vest in the surviving unitholder(s) who may retain the nomination or change or cancel the same. However, non expression of desire to change or substitute the nominee by surviving unitholder shall be deemed to be the consent of surviving unitholder for the existing nomination.
13. Transmission made by the AMC as aforesaid, shall be a full discharge to the AMC from all liabilities in respect of the said units. For further details please refer SAI.
14. Where a folio has joint holders, joint holders should sign the request for Nomination/ cancellation of nomination, as per the mode of holding in the folio.
15. Power of Attorney (POA) holder cannot sign the Nomination form.
16. Nomination is not allowed in a folio held on behalf of a minor.
17. Nomination is maintained at the folio level and not at the scheme level. If the investor fills in a fresh application form with new Nominee Name than the same shall supercede the existing nominee details in the folio.

VIII. E-MAIL COMMUNICATION:

Communication for the investors. If the investor(s) has/have provided his/their email address in the application form or any subsequent communication in any of the folio belonging to the investor(s), IBMF / Asset Management Company reserves the right to use Electronic Mail (email) as a default mode to send various communication which include account statements for transactions done by the investor(s). The investor(s) may request for a physical account statement by writing or calling IBMF's Investor Service Center/ Registrar & Transfer Agent. In case of specific request received from the investor(s), IBMF shall endeavor to provide the account statement to the investor(s) within 5 working days from the receipt of such request.

IX. SEBI CIRCULAR OF JUNE 30, 2009 ON REMOVAL OF ENTRY LOAD

In terms of SEBI circular no. SEBI/IMD/CIR No.4/ 168230/09 dated June 30, 2009, no entry load will be charged by the Scheme to the investor effective August 1, 2009. Upfront commission shall be paid directly by the investor to the AMFI registered Distributors based on the investors' assessment of various factors including the service rendered by the distributor

X. BENEFITS

1. Mobile No.: Get alerts on the move for Purchase, Dividend or Redemption, SIP Debit alert after it reflects in your account or two days prior to SIP debit
2. E-Mail ID: The Account Statement will be e-mailed instantly to your registered email address as and when you transact with Indiabulls Mutual Fund
3. IFSC/Micro Code: With Indiabulls E-dividend you can have your dividend credited in your account through the Electronic Clearing Service (ECS) / National Electronic Fund Transfer (NEFT).

XI. DECLARATION AND SIGNATURE(S)

1. Signature(s) should be in English or in any of the Indian languages specified in the eighth schedule of the Constitution of India.
2. Thumb impressions (left hand for males and right hand for female) and signatures in languages not specified in the Eighth Schedule of the Constitution of India should be attested by a Magistrate or a Notary public or a Special Executive Magistrate under his/ her official seal.
3. Applications by minors should be signed by their guardians.
4. In case of an HUF, the Karta should sign on behalf of the HUF.
5. If the application form is signed by a Power of Attorney (POA) holder, the form should be accompanied by a notarised photocopy of the POA. Alternatively, the original POA may be submitted with the application, which will be returned after verification. If the POA is not submitted with the application, the application form will be rejected.
6. In case of non-individual investors, a list of authorised signatories should be submitted along with application form or in case of any change in the authorised signatories list; the AMC/ Registrar must be notified within 7 days.

XII. GUIDELINE FOR SYSTEMATIC INVESTMENT PLAN (SIP)

1. This facility enables investors to save and invest periodically over a longer period of time. It is a convenient way to "invest as you earn" and affords the investor an opportunity to enter the market regularly, thus averaging the acquisition cost of Units.
2. SIP Facility can be availed monthly/quarterly on the following dates: 1st, 5th, 10th, 15th, 20th, and 25 th
3. Investors can avail this facility either through
 - a. Post Dated Cheques OR
 - b. Through ECS (Debit Clearing) of the Reserve Bank of India (RBI) in select cities
4. The minimum SIP instalment amount: Monthly: ₹ 1000/- and in multiples of ₹ 1/- thereafter and Quarterly: ₹ 3000/- and in multiples of ₹ 1/- thereafter Minimum No. of SIP installments: monthly - 12 installments, quarterly - 4 installments (including the first SIP cheque
5. All the cheques / payment instructions (including the first cheque / payment instruction) shall be of equal amounts. Non MICR / Outstation cheques will not be accepted. MICR Code starting and/or ending with "000" are not valid for ECS.
6. Third Party Cheque/Funds Transfer will not be allowed for Investment

In order to enhance compliance with Know Your Customer (KYC) norms, Indiabulls Mutual Fund shall not accept applications for subscriptions with third party payment instruments with effect from November 15, 2010. This will not be applicable in the following exceptional cases:

 - a. Payment by Parents/Grand-Parents/related persons on behalf of a minor in consideration of natural love and affection or as gift for a value not exceeding Rs. 50,000/- (each regular purchase or per SIP instalment)
 - b. Payment by employer on behalf of employee under Systematic Investment Plan (SIP) facility through payroll deductions;
 - c. Custodian on behalf of an FII or a Client.
7. The aggregate of such cheques / payment instructions shall not be less than Rs. 12,000 (on a per annum basis, for the first year) inclusive of the first instalment. There is no upper limit for the Purchase for a single cheque / payment instruction or in aggregate. Please note that SIP will be started subject to the realization of the first

Registration cheque. The AMC reserves the right to reject any application inter alia in the absence of fulfilment of any regulatory requirements, fulfilment of any requirements as per the Scheme Information Document, incomplete/incorrect documentation and furnishing necessary information to the satisfaction of the Mutual Fund/AMC.

The first installment of the SIP will be processed subject to applicable NAV & load, if any, on the date of submission on any Business Day. The second installment in case of monthly SIP will be processed on the available SIP dates (currently 1st, 5th, 10th, 15th 20th or 25th of every month) indicated by the investor, but immediately following the expiry of 30 Days from the date of processing of the first SIP.

8. Termination of SIP - For discontinuation of SIP the unit holder / investor should intimate the AMC/ISC at least 30 days prior to the due date of next cheque / installment (1st, 5th, 10th, 15th 20th or 25th of every month/ quarter). On such request, SIP will be terminated and the balance post-dated cheques will be returned to the investor or the debit instruction given by the investor under ECS or Direct Debit will be cancelled
9. The Units will be allotted to investor at the Applicable NAV of the respective dates on which the investments are sought to be made. However, if any of the dates on which an investment is sought to be made is a non-Business Day, the Units will be allotted at the Applicable NAV of the next Business Day.
10. Any Unit Holder investing in Regular Plan, can avail of this facility subject to certain terms and conditions detailed in the Application Form.
11. New Investors opting to Invest through the Systematic Investment mode shall have to submit the SIP Application Form. The Application Form should be completed in ENGLISH in BLOCK LETTERS only. Please tick in the appropriate box, where boxes have been provided. All applicants are deemed to have accepted the terms subject to which this offer is being made and bind themselves to the terms upon signing the Application Form.
12. Please refer the section on "Who can apply?" for an indicative list of eligible investors in the Scheme Information Document of the Scheme.
13. Systematic Investment Plans (SIPs) where aggregate of installments in a rolling 12 month period or in a financial year i.e. April to March does not exceed ₹50,000. (referred as "Micro SIP").

XIII) MICRO SIP

Micro SIP are defined as applications for SIPs upto Rs. 50,000 per year per investor, by individuals (including NRIs but not PIOs), Minors and Sole proprietary

firms and excluding HUFs and other categories.

1. All other applications except Micro SIP are defined as Non Micro SIPs.
2. In accordance with AMFI circular dated June 30, 2009, in case of micro schemes such as SIPs upto ₹ 50,000 per year per investor shall be exempted from the requirement of PAN. The Mutual Fund shall accept any of other standard specified identification instruments like Voter ID card, Government/Defense ID card, Card of Reputed employer, Driving License, Passport in lieu of PAN with effect from August 1, 2009 along with KYC form for Micro SIP investors.
3. This exemption will be applicable ONLY to investments by individuals (including NRIs but not PIOs), Minors and Sole proprietary firms. HUFs and other categories will not be eligible for Micro SIPs.
4. The exemption is applicable to joint holders also.
5. Any one of the following PHOTO IDENTIFICATION documents can be submitted along with Micro SIP applications as proof of identification in lieu of PAN.
 - a. Voter Identity Card
 - b. Driving License
 - c. Government / Defense identification card
 - d. Passport
 - e. Photo Ration Card
 - f. Photo Debit Card (Credit card not included because it may not be backed up by a bank account).
 - g. Employee ID cards issued by companies registered with Registrar of Companies
 - h. Photo Identification issued by Bank Managers of Scheduled Commercial Banks / Gazetted Officer / Elected Representatives to the Legislative Assembly / Parliament. (Designated Authorities)
 - i. ID card issued to employees of Scheduled Commercial / State / District Co-operative Banks. (Specified Banks)
 - j. Senior Citizen / Freedom Fighter ID card issued by Government.
 - k. Cards issued by Universities / deemed Universities or institutes under statutes like ICAI, ICWA, ICSI. (Educational Institutions)
 - l. Permanent Retirement Account No (PRAN) card issued to New Pension System (NPS) subscribers by CRA(NSDL).
 - m. Any other photo ID card issued by Central Government / State Governments /Municipal authorities / Government organizations like ESIC / EPFO. (Certain Government Authorities) Investors may please note that pursuant to the AMFI circular letter No. 35/MEM-COR dated August 16, 2010, with effect from January 01, 2011 KYC will be mandatory, irrespective of the amount of investment, for all Individual investors.

CHECKLIST - PLEASE ENSURE THAT:

- a) Your Application Form is complete in all respect, Name, Address & contact details are mentioned in full, signed by all applicants.
- b) Bank Account Details are entered completely and correctly. Permanent Account Number (PAN) of all the applicants are mandatory.
- c) For PAN and KYC please Refer instruction no. II 6 & 7
- d) Appropriate Scheme Name, Plan & Option is mentioned clearly.
- e) The Cheque / DD is drawn in favour of "Scheme Name" dated and duly signed.
- f) Name of the Investor & Application Number is mentioned on the reverse of the Cheque / DD.
- g) Documents as listed alongside are submitted along with the Application (as applicable to your specific case).

CHECKLIST - DOCUMENTS TO SUBMITTED

Submitted Documents Please ✓	Documents	Minor	Individuals	Companies	Trusts	Societies	Partnership Firms	FIIs	NRIs	Investment through POA
	Resolution / Authorization to invest			✓	✓	✓	✓	✓		
	List of Authorised Signatories with Specimen Signature(s)			✓	✓	✓	✓	✓		✓
	Memorandum & Articles of Association			✓						
	Trust Deed				✓					
	Bye-Laws					✓				
	Partnership Deeds						✓			
	Notarised Power of Attorney									✓
	A/c Debit Certificate (If payment is made by DD from NRE/FCNR / A/c)							✓	✓	
	PAN Proof (not required for existing investors)	✓*	✓	✓	✓	✓	✓	✓	✓	✓
	KYC acknowledgment letter (required if not already submitted)	✓*	✓	✓	✓	✓	✓	✓	✓	✓
	Copy of Cancelled Cheque	✓	✓	✓	✓	✓	✓	✓	✓	✓
	Any one of the documents, 1) Birth Certificate 2) School Leaving Certificate/ Marksheet of Higher Secondary Board of respective state, ICSE, CBSE etc 3) Passport of Minor	✓								

*Incase of minor applicant, the PAN proof and KYC Acknowledgment of his Guardian shall be submitted.

INDIABULLS ASSET MANAGEMENT COMPANY LIMITED

Corporate Office:

11th Floor, Tower - 1, Indiabulls Finance Centre, Elphinstone Mills Compound, Senapati Bapat Marg, Elphinstone (W), Mumbai - 400 013. Phone number: 022-3086 6253 Fax number: 022-3086 6164

E-Mail: customercare@indiabullsmf.com **Website:** www.indiabullsmf.com



THIRD PARTY PAYMENT DECLARATION (Should be enclosed with each payment)

PAYMENTS BY: Parent/Grand-Parents/Related Persons Other than the Registered Guardian

Payments to: **To a Minor Folio only**; In consideration of: **Natural love and affection or as a gift only** | Maximum Value: **Not Exceeding Rs 50,000/-** (each regular purchase)

Application and Payment Details (All details below are **Mandatory**, including relationship, PAN & KYC):

Folio No.											Application Form No.														
Beneficial Minor's Name																									
Investment Amount in ₹																									
Investment Cheque No.																Dated	D	D	M	M	Y	Y	Y	Y	
Drawn on Bank																									
Drawn on A/c No.																									

Declaration and Signatures:

	Parent/Grand-Parents/Related Persons Other than the Registered Guardian	Guardian of Minor, as registered in the Folio																		
Name																				
Relationship with Minor																				
Income Tax PAN																				
Mandatory attachment for any amount	<input type="checkbox"/> KYC Proof										<input type="checkbox"/> PAN Proof									
Declaration	I hereby declare and confirm that the minor stated above is the beneficial owner of the investment details mentioned above and I am providing the funds for these investments on account of my natural love or affection or as a gift from my bank account only.										I confirm that I am the legal guardian of the Minor, registered in folio and have no objection to receiving these funds on behalf of the Minor.									
Signature																				

BANKER'S CERTIFICATE in case of Demand Draft / Pay Order / Any Other pre-funded instrument:

To whomsoever it may concern, we hereby confirm the following details regarding the instrument issued by us:

Instrument Details:

Instrument Type	<input type="checkbox"/> Demand Draft	<input type="checkbox"/> Pay Order / Banker's Cheque																	
Instrument Number											Dated	D	D	M	M	Y	Y	Y	Y
Investment Amount in ₹																			
In Favour of / Favouring																			
Payable At																			

Details of Bank Account Debited for issuing the instrument:

Bank Account No.																Account Type				
Account Holder Details	Name of account holder										Income Tax PAN									
First holder																				
Second holder																				
Third holder																				

If the issuing Bank branch is outside India:

We further declare that we are registered as a Bank/branch as mentioned below:

Under the Regulator	NAME OF THE REGULATOR
In the Country	COUNTRY NAME
Registration No.	REGISTRATION NUMBER

We confirm having carried out necessary Customer Due Diligence with regard to the Beneficiary and to the source of the funds received from him, as per the standards of Anti-Money Laundering laws and other applicable relevant laws in our country

Branch Manager/Declarant(s)

Name _____

Address _____

City _____ State _____ PIN code _____

Country _____ Contact Number: _____

Important Note: It is clarified that the bankers certificate suggested above is recommendatory in nature, as there may be existing Bank Letters / Certificates / Declaration, which will conform to the spirit of the requirements, if all required details are mentioned in the certificate.

Signature:

Bank & Branch Seal: _____

CUSTODIAN ON BEHALF OF AN FII OR CLIENT (Should be enclosed with each Third party payment)

To whomsoever it may concern

Application and Payment Details (All details below are Mandatory):

Folio No.	Application Form No.								
Beneficial Applicant/ Investor Name									
Investment Amount in ₹									
Payment Mode <input type="checkbox"/> Cheque <input type="checkbox"/> Fund Transfer <input type="checkbox"/> RTGS <input type="checkbox"/> NEFT									
Investment No. / UTR No.	Dated <table border="1" style="display: inline-table; vertical-align: middle;"><tr><td>D</td><td>D</td><td>M</td><td>M</td><td>Y</td><td>Y</td><td>Y</td><td>Y</td></tr></table>	D	D	M	M	Y	Y	Y	Y
D	D	M	M	Y	Y	Y	Y		
Payment from Bank									
Payment from A/c No.									

We further declare that we are registered as a Custodian with SEBI under Registration No: _____

We confirm the beneficial owner as stated above and that this payment is issued by us in our capacity as Custodian to the Applicant/Investor. The source of this payment is from funds provided to us by the Applicant/Investor.

Name of Declarant(s): _____ Income Tax PAN: _____

Address of Declarant(s) _____

City: _____ State: _____ Postal code: _____ Country: _____

Signature of Declarant(s):

PAYMENT BY EMPLOYER ON BEHALF OF EMPLOYEE (Under Systematic Investment Plans or lump sum / one-time subscription, through Pay roll deductions. Should be enclosed with each Third party payment)

To whomsoever it may concern

We hereby declare that the Application Form No/s. _____ for subscription of units in Scheme _____ ,

Plan _____ , Option _____ is accompanied by

Cheque No. _____ Dated

D	D	M	M	Y	Y	Y	Y
---	---	---	---	---	---	---	---

Drawn on _____ (Name of the Bank / Branch).

We confirm that the beneficial owner (s) of the investment in these units is /are _____

(Name of the Employee/s, with employee number/s),

who is / are my / our employee/s and am providing the funds for these investments through the payroll deduction.

Name of Declarant(s): _____ Income Tax PAN: _____

Address of Declarant(s) _____

City: _____ State: _____ Postal code: _____ Country: _____

Signature of Declarant(s):

Signature of Beneficiary

INSTRUCTIONS

Investment/Subscription made through Third Party Cheque(s) will not be accepted except in the circumstances mentioned below.

1. Payment by Parents/Grand-Parents/related persons for investment made on behalf of a minor in consideration of natural love and affection or as gift for a value not exceeding Rs.50,000/- (each purchase or per SIP installment).
 2. Payment by Employer on behalf of employee under SIP through Payroll deductions.
 3. Custodian on behalf of a Foreign Institutional Investor (FII) or a client.
The above mentioned exception cases will be processed after carrying out necessary checks and verification of documents attached along with the purchase transaction slip/application form, as stated below:
 - i. Determining the identity of the Investor and the person making payment i.e. mandatory Know Your Client (KYC) for Investor and the person making the payment.
 - ii. Obtaining necessary declaration from the Investor/unit holder and the person making the payment. Declaration by the person making the payment should give details of the bank account from which the Payment is made and the relationship with the beneficiary.
 - iii. Verifying the source of funds to ensure that funds have come from the drawer's account only.
- In case of investment/subscriptions made via Pay Order, Demand Draft, Banker's cheque, RTGS, NEFT, ECS, bank transfer, net banking etc. Following additional checks shall be carried out:
- a. If the investment/subscription is settled with pre-funded instruments such as Pay Order, Demand Draft, Banker's cheque, etc., a Certificate from the Issuing banker must accompany the purchase application, stating the Account holder's name and the Account number which has been debited for issue of the instrument. The funds should be debited from a pre-registered pay in account available in the records of the Mutual fund, or from the account of the first named unit holder. Additionally, if a pre-funded instrument issued by the Bank against cash, it shall not be accepted for investments of Rs.50,000/- or more. Such prefunded instrument issued against cash payment for less than Rs.50,000/- should be accompanied by a certificate from the banker giving name, address and PAN (if available) of the person who has requested for the demand draft.
 - b. If payment is made by RTGS, NEFT, ECS, bank transfer, etc., a copy of the instruction to the bank stating the account number debited must accompany the purchase application. The account number mentioned on the transfer instruction should be from pay in account available in the records, or from the account of the first named unit holder. Investors are requested to note that AMC reserves right to have additional checks of verification for any mode of payment received. The AMC reserves the right to reject the transaction in case the payment is received from an account not belonging to the first unit holder of the mutual fund. In case of investors with multiple accounts, in order to ensure smooth processing of investor transactions, it is advisable to register all such accounts, as the investments/subscriptions received from the said multiple accounts shall be treated as first party payments.
- Third party cheque(s) for this purpose are defined as:** (i) Investment made through instruments issued from an account other than that of the beneficiary investor, ii) in case the investment is made from a joint bank account, the first holder of the mutual fund folio is not one of the joint holders of the bank account from which payment is made. Please Refer Third Party Payment Declaration form.

INDIABULLS ASSET MANAGEMENT COMPANY LIMITED

Corporate Office:

11th Floor, Tower - 1, Indiabulls House, Indiabulls Finance Centre, Elphinstone Mills Compound, Senapati Bapat Marg, Elphinstone (W), Mumbai - 400 013. Phone number: 022-6189 1327 Fax number: 022-6189 1320

E-Mail: customercare@indiabullsmf.com **Website:** www.indiabullsmf.com



NON FINANCIAL TRANSACTION FORM (For Existing Unit Holders only)

Please read the instructions overleaf carefully and complete the relevant section legibly in black/dark coloured ink and in BLOCK CAPITALS. Please strike out sections that you intend to leave blank

Distributor / Broker Code	Sub Broker Code	Branch Code	Date & Time of Receipt
ARN - 107355/E-145228			

1 UNIT HOLDERS' DETAILS (MANDATORY)

Sole / First Unit Holder

Name Mr Ms M/s FIRST MIDDLE LAST Folio No

2 CHANGE IN BANK ACCOUNT DETAILS Refer Instruction No. I

A/c Type *please (✓)* SB Current NRO NRE A/c Number

Bank Name

Branch City

PIN CODE 11 Digit IFSC Code 9 Digit MICR Code

Please ensure a cancelled cheque leaf of above A/c. In the absence of this, your request would not be processed.

3 CHANGE OF ADDRESS (Mailing Address of Sole / First Unit Holder - PO Box is not sufficient) Refer Instruction No. II

ADDRESS LINE 1

ADDRESS LINE 2 CITY

STATE COUNTRY PIN CODE

4 CHANGE IN CONTACT DETAILS (Contact Details of Sole / First Unit Holder) Refer Instruction No. III

STD Code Residence Office Fax

Mobile No Email Id

I / We wish to receive Account Statement, Annual Report & All other Statutory Information through physical mode in lieu of email

5 CONSOLIDATION OF FOLIOS Refer Instruction No. IV

Source Folios 1 2 3 4 5 6 *Mention all source folios i.e the folios to be consolidated here*

Target Folio Number for Consolidation *Only one, where all folios need to be consolidated*

6 CANCELLATION FORM FOR SYSTEMATIC TRANSACTIONS Refer Instruction No. V

Source Scheme Plan Option

Transaction Type (✓) SWP STP Transfer Date (✓) 2nd 8th 15th 23rd

Amount ₹ Withdrawal From D D M M Y Y To D D M M Y Y
(First Installment) (Last Installment)

7 UNIT HOLDER(S) SIGNATURE(S) To be signed by ALL UNIT HOLDERS if mode of holding is 'Joint'.

I/We have read and understood the contents of the respective Scheme Information Document, Statement of Additional Information and Key Information Memorandum of Indiabulls Mutual Fund. I/We have neither received nor been induced by any rebate or gifts, directly or indirectly in making this transaction. The ARN holder has disclosed to me/us all the commissions (in the form of trail commission or any other mode), payable to him for the different competing schemes of various Mutual Funds from amongst which the Scheme is being recommended to me/us.

Sole / 1st Applicant / Guardian / Authorised Signatory 2nd Applicant / Authorised Signatory 3rd Applicant / Authorised Signatory

ACKNOWLEDGMENT SLIP To be filled in by the Investor

Folio No.

(To be filled in by the First Applicant / Authorised Signatory):

CHANGE OF	CONSOLIDATION OF FOLIOS	CANCELLATION OF SYSTEMATIC TRANSACTIONS	STAMP SIGNATURE & DATE
<input type="checkbox"/> BANK DETAILS <input type="checkbox"/> ADDRESS <input type="checkbox"/> CONTACT DETAILS	Source Folio's	From	
		To	
	Target Folio	Date (✓) 2nd 8th 15th 23rd	
		From <input type="text"/>	
		To <input type="text"/>	

INSTRUCTIONS NON FINANCIAL TRANSACTION FORM

I. CHANGE IN BANK DETAILS:

1. In case there is more than one holder in the folio then the form should be signed by all the holders as per mode of operation in the folio
2. The first /sole unit holder in the folio should be one of the holders of bank account being registered
3. If the bank account number on cheque leaf is handwritten or investor name is not printed on the face of cheque, bank account statement or passbook having the name, address and the account number should be enclosed. If photocopies are submitted, investors must produce original for verification.
4. Bank account change details request will be accepted and processed only if all the details are correctly filled and the necessary documents are submitted. The request is liable to be rejected if any information is missing or incorrectly filled or if there is deficiency in the documents submitted.
5. After this request is processed, your future entitlements of Dividend and Redemption proceeds would be paid to the Sole/First Unit holder favoring the new bank Account.
6. Resident individuals can either select bank account type as SB (Savings Account) or CA (Current Account). Non Resident Individuals need to mandatorily provide NRO or NRE Bank account details
7. IFSC & MICR code are important for dividend /redemption credits into your account through NEFT or ECS mode.
8. Indiabulls Mutual Fund (IBMF) is also providing a facility to the investors to register multiple bank accounts to receive redemption /dividend proceeds by registering multiple bank accounts. These account details will also be used by IBMF for verification of instrument used for subscription, except as permitted. Investors are requested to avail the facility of registering multiple bank accounts by filling in the Multiple Bank Registration form available on our website www.indiabullsmf.com or visit any of our Investor Service Centre (ISC) or RTA.

II. CHANGE OF ADDRESS:

1. If the KYC status of the Sole/First Unit holder is "Verified", the address in our records would be drawn from CVL. Hence, any request for change of address should be made to a POS of CVL. For more details please visit www.cvlindia.com . **The transaction slip cannot be used for a change-of-address request.**
2. If the KYC status of the Sole/First Unit holder is "Not Available" or "Pending" or "Failed", the transaction slip should be used for a change of address request. Please fill complete postal address including pincode before signing and submitting it to any of our ISC.

III. CHANGE OF CONTACT DETAILS:

1. Please mention your complete contact details (with STD/ISD Code), Mobile No. and E-Mail ID. Requests for change in contact details must be submitted to your nearest ISC/IBMF/RTA.
2. If you wish to receive communication from us on E-mail instead of printed communication, please indicate the type of communication you would prefer on E-mail by ticking against the boxes provided for the purpose.

IV. CONSOLIDATION OF FOLIOS:

Consolidation of folios can be made only, if the investment details i.e. Name of the holders, Bank Mandate, Mode of holding & Nominee are in the same order. Folios where special products like SIP, STP and SWP is under process cannot be consolidated.

If the units are held jointly, all joint holders have to sign the consolidation request.

V. CANCELLATION OF SWP / STP:

Investor can cancel the SWP/ STP request at any time by giving a written request for the same. The cancellation of a registered SWP/STP request would take 8 calendar days from the date of receipt of request.

For any queries contact us at:

 1800-200-7777

 SMS 'IBMF' to 54242

 www.indiabullsmf.com

 customercare@indiabullsmf.com

Lines open from 9am to 6pm on all business days

Visit us at: www.indiabullsmf.com



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- Purchase Switch & Redeem
- View Portfolio Summary
- View Transactions
- View Account details
- Track Your Portfolio
- Link/Delink Folio
- Request for Account Statement
- Change Pin/Password

AMC Investor Service Centres

- **Mumbai:** Indiabulls House, Indiabulls Finance Centre, 11th Floor, Senapati Bapat Marg, Elphinstone Road (West), Mumbai - 400 013. (Tel) 022-61891327
 - **New Delhi:** M- 61 & 62 , 1st Floor, Middle Circle, Connaught Place, New Delhi - 110 001. (Tel) 011-30252916
 - **Kolkatta:** Constantia Building, Ground Floor, 11, Dr. U.N.Brahmchari Street, Kolkata - 700 017. (Tel) 033-39974716
- **Bangalore:** Vijay Building, Jatti Builders, 104, 1st Floor, First Main Road, 5th Block, Koramangala, Bangalore - 560 095 (Tel) 080-33684062

KARVY Investors Service Centers

- **AHMEDABAD** Karvy Computershare Private Limited, 201, Shail Building, Opposite Madhusudhan House, Near Navrangpura Telephone Exchange, Navrangpura, Ahmedabad - 380 006
- **ALWAR** Karvy Computershare Private Limited, 101, 1st Floor, Saurabh Towers, Opposite UTI, Road No. 2, Bhagat Singh Circle , Alwar - 301 001
- **AMRITSAR** Karvy Computershare Private Limited, 72-A, Taylor'S Road , Aga Heritage Gandhi Ground, Amritsar - 143 001
- **BANGALORE** Karvy Computershare Private Limited, No. 51/25, 1st Floor, Surya Building, Ratna Avenue, Richmond Road, Bangalore - 560 025
- **BARODA** Karvy Computershare Private Limited, SB-4&5, Mangaldeep Complex, Opposite Mesonic Hall, Productivity Road, Alkapuri, Vadodara - 390 007
- **BHUBANESWAR** Karvy Computershare Private Limited, Plot No - 104/105(P), Jaydev Vihar, Besides Hotel Pal Heights, Bhubaneswar - 751 013
- **CHANDIGARH** Karvy Computershare Private Limited, Sco-371-372, 1st Floor, Above HDFC Bank, Sector 35B, Chandigarh - 160 022
- **CHENNAI** Karvy Computershare Private Limited, F11, 1st Floor, Akshya Plaza, Erstwhile Harris Road, Opposite Chief City Metropolitan Court, 108, Adhithanar Salai
- **COIMBATORE** Karvy Computershare Private Limited, 29/1, 1st Floor, Chinthamani Nagar, Opposite Indian Overseas Bank, Nsr Road, Saibaba Colony, Coimbatore - 641 011
- **DEHRADUN** Karvy Computershare Private Limited, Kaulagarh Road, Near Sirmaur Marg, Above Reliance Webworld, Dehradun - 248 001
- **GOA** Karvy Computershare Private Limited, City Business Centre, Coelho Pereira Building, Room No. 18-20, Dada Vaidya Road, Panjim - 403 001
- **GORAKHPUR** Karvy Computershare Private Limited, Above V.I.P. House, Ajdacent A.D. Girls Inter College, Bank Road , Gorakpur - 273 001
- **GUWAHATI** Karvy Computershare Private Limited, 54 Sagarika Bhawan, R G Baruah Road, (AIDC Bus Stop), Guwahati 781 024
- **HYDERABAD** Karvy Computershare Private Limited, 8-2-596 Karvy Plaza, Avenue 4, Street No. 1, Banjara Hills, Hyderabad - 500 034
- **INDORE** Karvy Computershare Private Limited, G-7, Royal Ratan Building, M.G Road, Opp. Kotak Mahindra Bank, Indore - 452 010
- **JAIPUR** Karvy Computershare Private Limited, S-16 A, 3rd Floor, Land Mark, Opposite Jaipur Club, Mahavir Marg, C- Scheme, Jaipur - 302 001
- **JALANDHAR** Karvy Computershare Private Limited, Lower Ground Floor, Office No: 3, Arora Prime Tower, Plot No: 28, G T Road, Jalandhar - 144 004
- **JAMSHEDPUR** Karvy Computershare Private Limited, Kanchan Tower, 3rd Floor, Chhaganlal Dayalji & Sons, 3-S B Shop Area, (Near Traffic Signal), Main Road, Bistupur, Jamshedpur - 831 001
- **KANPUR** Karvy Computershare Private Limited, 15/46, Opposite Muir Mills, Civil Lines, Kanpur - 208 001
- **KOLKATA** Karvy Computershare Private Limited, 166A, Rashbehari Avenue, 2nd Floor, Near Adi Dhakerhwari Bastralaya, Opposite Fortis Hospital, Kolkata - 700 029
- **LUCKNOW** Karvy Computershare Private Limited, Usha Sadan, 24, Prem Nagar, Ashok Marg, Lucknow - 226 001
- **LUDHIANA** Karvy Computershare Private Limited, Sco-136, 1st Floor, Above Airtel Show Room, Feroze Gandhi Market, Ludhiana - 141 001
- **MUMBAI** Karvy Computershare Private Limited, Office No. 01/04,24/B, Raja Bahadur Compound, Ambalal Doshi Marg, Behind BSE, Fort Mumbai - 400 001
- **MYSORE** Karvy Computershare Private Limited, L - 350 , Silver Tower , Clock Tower, Ashoka Road, Mysore - 570 001
- **NAGPUR** Karvy Computershare Private Limited, Plot No.2/1, House No. 102/1, Mangaldeep Apartments, Mata Mandir Road, Opp Khandelwal Jewellers, Dharampeth Nagpur - 440 010
- **NEW DELHI** Karvy Computershare Pvt Limited, 305, 3rd Floor, New Delhi House, Bara Khamba Road, Connaught Place, New Delhi - 110 001
- **PUNE** Karvy Computershare Private Limited, Shop No. 16-18, Ground Floor, Sreenath Plaza, Dyaneshwar Paduka Chowk, F C Road, Pune - 411 004
- **RANCHI** Karvy Computershare Private Limited, Commerce Towers, 3rd Floor, Room No. 307, Besides Mahavir Towers, Main Road, Ranchi - 834 001
- **SURAT** Karvy Computershare Private Limited, G-6 Empire State Building, Near Parag House, Udhna Darwaja, Ring Road, Surat- 395 002
- **TRICHI** Karvy Computershare Private Limited, Sri Krishna Arcade, 1st Floor, 60 Thennur High Road, Trichy - 620 017
- **VARANASI** Karvy Computershare Private Limited, D-64/132, KA 1st Floor, Anant Complex, Sagra, Varanasi - 221 010
- **VISAKHAPATNAM** Karvy Computershare Private Limited, 47-14-5/1, Eswar Paradise, Dwaraka Nagar, Main Road, Visakhapatnam - 530 016

Additional Contact Centres of AMC

- **Ahmedabad:** Radhika House, 1st Floor, Opp. Mayor Bungalow, Near law Garden, Cross Road, Netaji Marg, Mithakhali, Ellisbridge, Ahmedabad- 380006.
- **Chandigarh:** SCO-337- 338, Sector-35B, Chandigarh, Punjab - 160035.
- **Chennai:** 20, Apex Chambers, 3rd Floor, Sir Thyagaraya Road, T. Nagar, Chennai - 600017.
- **Jaipur:** O-12B, Ground Floor, Ashok Marg, C-Scheme, Jaipur - 302001.
- **Lucknow:** Saran Chamber-2, Ground Floor, 5 Park Road, Lucknow - 226001.
- **Pune:** Vardhaman Height, 2nd Floor, 201, S. No. 1328/29, Shukrawar Peth, Bajirao Road, Pune - 411002.

